

Diploma thesis

**THE PEDIATRIC GASTROINTESTINAL MICROBIOME ON TRIAL**

**Establishment of a control cohort for the intestinal microbiome of  
infants, children, and adolescents**

submitted by

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**Graz, 17.01.2024**

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*Graz, 17.01.2024*

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# **Abstract (English)**

## **Introduction**

Research on the human gut microbiome has mostly been done on infants under the age of 3 and adults over 18 years of age. The remaining pediatric age population of preschoolers, primary school attendees, and teenagers is lacking in the abundance of data. Furthermore, little is yet known about the composition and effect of the gut microbiome of patients with severe immune cytopenias (SICs) such as autoimmune hemolytic anemia (AIHA), Evans syndrome (ES), and immune thrombocytopenia (ITP) with or without an underlying inborn error of immunity (IEI).

## **Materials and Methods**

We collected stool samples of (otherwise) healthy children, adolescents, and young adults (HC) (n=95) who underwent elective surgery or conservative treatment of fractures in the absence of infection at a single time point. These were assigned to respective age groups (AGs) from 1 to 5: AG1 (0-1 years old), AG2 (2-5 years old), AG3 (6-10 years old), AG4 (11-15 years old) and AG5 (16-25 years old). Further, these were compared to patients with AIHIA/ES (n=11), ITP (n=21) and IEI (n=12). The ages of the participants ranged between 0 and 25 years. Sequencing of the 16S rRNA variable V4 region was utilized for microbial community profiling. The data was compared further using scripts from QIIME 1.8 and QIIME 2.0 workflows.

## **Results**

HC infants aged 0 to 1 year (AG1) (n=12) have a discrepant gut microbiome profile compared to the remaining AGs. AG1 presents a significantly lower alpha diversity ( $p < 0.05$ ), high values of Actinobacteria (15.59%,  $p = 0.001\%$ ) and Proteobacteria (11.97%), and reduced abundance of Bacteroidetes (38.02%) and Firmicutes (33.55%). The remaining AGs show no significant difference in the age-dependent dynamic of the gut microbiome.

The gut microbiomes of patients with IEIs exhibit a significantly lower alpha diversity than of the other cohorts, SICs and HC ( $p = 0.009$ ). No significant difference in the microbiome composition was found between other SICs and HC. However, three distinct clusters are formed in the Principal Component Analysis.

## **Conclusion**

Expanding the pediatric control cohort is necessary, to estimate age-dependent changes. To attain a better insight into the suspected association of the gut microbiome with immune tolerance or autoimmunity such as in patients with SICs, longitudinal studies could capture the dynamics of the disease course.

# **Abstract (German)**

## **Einleitung**

Ein Großteil der Forschung über das menschliche Darm-Mikrobiom bezieht sich auf Säuglinge unter 3 Jahren und Erwachsene über 18 Jahren. Die Datenlage in Bezug auf die dazwischen liegenden pädiatrischen Altersgruppen, nämlich bei Vorschulkindern, Schulkindern sowie Jugendlichen ist gering. Bislang wurden pädiatrische PatientInnen mit schweren Immunzytopenien (SICs) in Bezug auf das Darm-Mikrobiom nicht eingehend untersucht. Zu diesen gehören Autoimmunhämolytische Anämie (AIHA), Evans Syndrom (ES), Immunthrombozytopenie (ITP) sowie angeborene Immundefekte (IEI), die eine Prädisposition für SICs darstellen.

## **Materialien und Methoden**

Es wurden Stuhlproben von Infekt freien Kindern und Jugendlichen mit Verletzungen oder elektiven Operationen (HC) (n=95) an einem Zeitpunkt gesammelt. Diese wurden in entsprechende Altersgruppen (AG) 1 bis 5 eingeteilt: AG1 (0-1 Jahren), AG2 (2-5 Jahren), AG3 (6-10 Jahren), AG4 (11-15 Jahren) and AG5 (16-25 Jahren). Diese wurden mit Stuhlproben von PatientInnen mit AIHIA/ES (n=11), ITP (n=21) und IEI (n=12) verglichen. Die Altersspanne der PatientInnen lag zwischen 0 und 25 Jahren. Die Analyse des intestinalen Mikrobioms wurde anhand der 16S rRNA Gensequenzierung der variablen V4 Region durchgeführt. Die Daten wurden mit QIIME 1.8 und QIIME 2.0 analysiert.

## **Ergebnisse**

HC Säuglinge zwischen 0 und 1 Jahr (AG1) (n=12) weisen im Vergleich zu den übrigen AGs einen signifikanten Unterschied in der Zusammensetzung des Darm-Mikrobioms auf. Sie haben im Vergleich zu den anderen AGs eine reduzierte Alpha-Diversität ( $p < 0.05$ ) und einen erhöhten Anteil an Aktinobakterien (15.59%,  $p = 0.001\%$ ) und Proteobakterien (11.97%) und einen geringeren Anteil an Bacteroidetes (38.02%) und Firmicutes (33.55%). Die restlichen AGs zeigten keinen signifikanten Unterschied in der altersabhängigen Dynamik der mikrobiellen Zusammensetzung.

PatientInnen mit IEI haben eine signifikant niedrigere Alpha-Diversität im Vergleich zu den übrigen SICs und HC ( $p = 0.009$ ). Kein signifikanter Unterschied wurde zwischen

PatientInnen mit SIC und HC gefunden. In der Principal Component Analysis wurden jedoch drei Cluster ersichtlich.

### **Schlussfolgerung**

Eine Erweiterung der gesunden pädiatrischen Kohorte ist notwendig, um altersspezifische Änderungen zu ermitteln. Weiters könnten zukünftig Langzeitstudien vor allem bei schweren Immunzytopenien mehr Einblick in das Darm-Mikrobiom schaffen, um den dynamischen Prozess des Krankheitsverlaufes zu untersuchen.

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## List of Abbreviations

AIHA	autoimmune hemolytic anemia
AG(s)	age range(s)
AMP	antimicrobial peptides
Bregs	regulatory B cells
CS	caesarean section
CD	Crohn's disease
CD4+/8+	cluster of differentiation antigen 4 positive/8 positive
DCs	dendritic cells
DNA	deoxyribonucleic acid
DM1/2	type 1/type 2 diabetes
ES	Evans syndrome
FOS	fructo-oligosaccharides
GI(T)	gastrointestinal (tract)
GOS	galacto-oligosaccharides
HC	healthy controls
HMOs	human milk oligosaccharides
IEI	inborn errors of immunity
IFN	interferon
IL	interleukin
ITP	immune thrombocytopenia
LPS	lipopolysaccharides
mLN	mesenteric lymph nodes
PD	phylogenetic diversity

pH	potentia Hydrogenii
(r)RNA	(ribosomal) ribonucleic acid
Treg(s)	CD4 <sup>+</sup> regulatory T cell(s)
TMAO	Trimethylamine N-oxide
SCFA	short chain fatty acids
(S)Ig	(secretory) immunoglobulin
SIC(s)	severe immune cytopenia(s)
spp.	species pluralis, multiple species
VD	vaginally delivered

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# 1. Introduction

## 1.1. The pediatric gut microbiome

### 1.1.1. Definition of the microbiome

The gastrointestinal tract is one of the various sites located in the human body, where microorganisms reside. The term “microbiome” has often been utilized to describe the microorganisms itself. The ensemble of such microbes is classified as the microbiota, while their genetic information is defined as the microbiome. Bacteria, archaea, fungi, microbial eukaryotes as well as viruses and phages contribute to the custom microbial composition. (1) Of  $10^{14}$  bacteria counted in a single human gut, more than 95% are members of four major phyla, namely Firmicutes, Bacteroidetes, Actinobacteria, and Proteobacteria. (2) It needs to be noted, that bacteria are the main focus in the majority of cases, while archaea, fungi, protozoa, and viruses have been studied less in comparison. (3) Amongst other fungi, *Candida spp.*, *Saccharomyces spp.*, *Penicillium spp.*, and *Aspergillus spp.* were identified in the human GIT. (4,5) Methanobacteriales represent the most abundant archaeal order in the human gut. (6) The variable presence of protozoa and their impact on the microbiome, especially on bacteria, is yet to be understood. (7) Yet, microbes in a healthy human gut live in symbiosis, a state where the host and microbes profit from one another. (8)

Additionally, microorganisms in the human gut microbiota can be characterized as autochthonous, which continuously occupy the gut, and allochthonous, which can be seen as temporary visitors and are environmentally acquired. (9) To date a general definition of beneficial microbiota is difficult to apply. The presence of specific microbes may be advantageous in one aspect, such as *Bacteroides spp.* stimulating immune tolerance, which in turn prevents the onset of hypersensitivities. However, negative traits could be associated with them in another setting. Continuing with the same example, *Bacteroides spp.* may promote infection with virulence factors after the onset of peritonitis. (10,11)

Opportunistic pathogens, such as Enterobacteriaceae, of which *Escherichia coli* and *Klebsiella pneumoniae* are mostly common, and Enterococcaceae, with *Enterococcus faecalis* and *Enterococcus faecium* as representatives, are associated with hospitalization and could reside in the gut of infants delivered through CS. Therefore,

infections are more likely to occur depending on the individual and their pre-existing conditions. (12,13)

The main focus of this diploma thesis will lie on the bacterial intestinal microbiota of healthy children. Its structure and development as well its impact on physiological and pathophysiological mechanisms will be discussed.

### **1.1.2. Structure and function of the pediatric microbiome**

The intestine of the GIT is anatomically built of the small intestine, which is formed by the duodenum, jejunum, and ileum, and the large intestine, portraying the colon and caecum. Each segment is characterized by a distinct environment, for example, caused by secretions and changes in pH. Hence, different members of the microbiota are pronounced in each division according to the setting. When taking the small intestine into account, the phyla Firmicutes and Actinobacteria dominate the duodenum, Gram-positive aerobes and facultative anaerobes such as *Lactobacilli spp.*, *Enterococci spp.*, and *Streptococci spp.* the jejunum and aerobic species in the ileum are predominantly present. By contrast, anaerobic species prevail in the lower intestine. Here in the distal intestine, Firmicutes and Bacteroidetes build the majority of the microbiota. (14)

Functional diversity quantifies the richness and the arrangement of various functions within the microbiota. (15) Alpha diversity characterizes the variety of microorganisms within the environment of interest. On the other hand, beta diversity represents the differences between two individuals, groups, or environments. (16) Greater richness provides a bigger pool of antigens such as flagellin, lipoproteins, lipopolysaccharides (LPS), and peptidoglycan in the host. Thus, these antigens can shape, activate, and educate the innate and adaptive immune systems. (17–19)

Microbiota characterized by members with various phylogenetic traits are associated with greater functional capability. Furthermore, microbiota allow fermentation for easier digestion, production of essential vitamins, and elimination of toxic byproducts. (15) When the colonic microbiome catabolizes carbohydrates and proteins, short-chain fatty acids such as acetate, propionate, and butyrate are created as metabolites during this process. (20) Short-chain fatty acids (SCFAs) play a crucial role in regulating gut homeostasis regarding intestinal permeability, the immune system, inflammatory responses, gut motility, and bile acid metabolism. The concentration of

SCFA reaches its peak in the cecum and proximal colon and lessens up to the distal colon. (21) Intestinal epithelial cells rely on SCFA for nourishment, proliferation, and differentiation. (22) A great repertoire of metabolic approaches leads to better digestion of various nutrients, which may benefit as an additional source of energy. For example, oligosaccharides such as fructo-oligosaccharides and galacto-oligosaccharides cannot be used as substrates for enzymes of the host. This is where the four families of glycosidases, namely glycoside hydrolases, glycosyltransferases, polysaccharide lyases, and carbohydrate esterases and their respective subfamilies, come into play in the presence of microbiota. (15) Another example is xyloglucans, which are components of dietary vegetables and catabolized by an identified polysaccharide utilization locus in *Bacteroides ovatus*, a colonic symbiont. (23,24) Bacteria are capable of releasing neurotransmitters such as  $\gamma$ -aminobutyric acid, which have an impact on the enteric and central nervous systems, immunomodulators like histamine, and other biologically active compounds. (25) Hollister et al. (26) observed that the amino acids cysteine, lysine, methionine, and tyrosine are metabolized in favor of the gut-brain-axis in pediatric gut communities, which in turn are utilized for the production of biogenic amines and neurotransmitters. Therefore leaving an impact on the developing brain and neuroplasticity. (27)

The gut microbiome can wield its influence within microorganisms and between different kingdoms, such as microbes and hosts. Quorum sensors are signaling molecules for similar bacteria, that regulate apoptosis, biofilm growth, homeostasis, spore formation, and virulence. Additionally, these molecules create an impact on the creation of the biofilm and provide microorganisms adaptation methods to the surrounding habitat. On the contrary, bacteria-produced inhibitors against other competing bacterial communities can be released, which include reactive aldehydes, bacteriocins, hydrogen peroxide, and lactic acid. Lactic acid is an end product after the fermentation process of glucose by lactic acid bacteria, which can defend together with hydrogen peroxide against intruders. Pathogens are impaired to thrive and build colonies by lowering local and intracellular pH and damaging bacterial deoxyribonucleic acid (DNA). 3-hydroxypropionaldehyde, better known as Reuterin, is a derivate of glycerol fermentation operated by lactic acid bacteria and prevents non-commensals from cultivating in the gut. (25)

Functional plasticity is the capability of the microbiome or its members to adjust to interfering factors through alteration in gene expression. If the taxonomic community structure is at risk due to disturbances, functional redundancy, which is the ability to execute the same function, enhances functional resilience. Therefore, regaining the initial community state is made possible, whilst strengthening its stability. A connection between functional redundancy and the health of the host can be assumed due to the correlation between taxon stability and the preservation of functional and taxonomic diversity over time. (15)

### **1.1.3. Development of the gut microbiome**

The hypothesis of a sterile *in utero* environment and the first colonization of the newborn happening during labor, either through vaginal delivery (VD) or caesarean section (CS), is currently challenged. (28) Xiao et al. discuss study results proving vertical transmission of microbes occurs between the birth mother and the fetus. (29) Aagaard et al. detected Bacteroidetes, Firmicutes, Fusobacteria, Tenericutes, and Proteobacteria in the placenta of 320 study participants. These characteristics share similarities to the human oral microbiome. (30) Additionally, various studies found bacteria harboring further sites in healthy full-term pregnancies: such as placental villi (31–33), parts of the uterus such as the endometrium (34), the uterine cervix (32) and decidual tissue (35), fetal membranes (31,32), the basal plate (31), amniotic fluid (32,35,36) and meconium (32,36,37). Furthermore, Gomez-Arango et al. suggest that the maternal gut microbiome also has an impact on the microbial composition of the placenta. (38) Rackaityte et al. found Micrococcaceae and *Lactobacillus* in abundance in fetal meconium. The presence of *Micrococcus luteus* favored the development of immune defense in the fetus. (39) Mishra et al. consistently detected live *Lactobacillus* and *Staphylococcus* in fetal tissues. They too are beneficial for developing immune competence and priming immune cells before birth. (40) However, due to the low biomass of microbes in the placenta and the uterus, critics disagree pointing out false-positive results due to contamination and reagents. (41–43)

The formation of an infant's gut microbiota seems to stabilize within the period of the first 3 years of life. Interestingly, the function of their microbial configuration resembles those of adults. (44–46) Based upon changes in alpha diversity and the dynamics of the major phyla, the evolution of the gut microbiota proceeds in the following phases: the developmental phase, the translational phase, and the stable phase. The

first phase ranges between 3 and 14 months of age and is characterized by successive changes in alpha diversity and in the identified phyla itself. During this period, *Bifidobacterium spp.* appear in high abundance. The translational phase with its duration from 15 to 30 months of age is marked by the ongoing development of Bacteroidetes and Proteobacteria and shifts in alpha diversity. The stable phase, counting from 31 months and above, is then identified, when the current phyla is stationary and a high alpha diversity has been established. In comparison to the previous phases, Firmicutes dominates among the last described. (47) It is to be noted that the duration concerning the final maturation of the gut microbiota could be underestimated according to recent longitudinal studies. (26,48–50)

Over time, the microbial composition changes as it is a dynamic process, driven by ecological processes. Dispersal is a mechanism that promotes diversity in local microbial communities. On the other hand, local diversification, mostly provided through phages, allows the microbiome to quickly adapt through mutation or recombination. Further, environmental selection forms the microbiota by stimulating the growth of particular members under special circumstances. Lastly, ecological drift is in charge of the clearance of species, which do not serve as an advantage in other ecologic settings or are present in low abundance. However, dispersal might prevent this process on species, that were about to be eliminated locally. (51) Over time, the microbial composition changes as it is a dynamic process and is impacted by various factors at different time points.

Additionally, the microbiota can be impacted by various factors at different points of life. These will be further discussed in chapter “1.2. Influencing factors on the microbial composition”

#### **1.1.4. Comparison between adults and children**

Hollister et al. (26) suggest that the gut microbiome of healthy, pre-adolescent children is defined by an extensive range of species, its intricate function, and similar capabilities when combining taxa. The complexity of such can be argued by the continuous development of the pediatric gut microbiome. By contrast, the gut microbiome of a healthy adult seems to be relatively stable. Biagi et al. (52) suggest that the balance of the microbial composition in adults remains until the microbiota has “aged” within 75-80 years. *Bacteroidetes* and *Firmicutes* are the prevalent phyla in

healthy children, whilst having a significant plethora of *Firmicutes* and *Actinobacteria* in comparison to adults. Commonly, the Firmicutes to Bacteroidetes ratio is used to draw associations with specific characteristics of the host. (53) As an example, shifts in the Firmicutes and Bacteroidetes ratio are observed in pathologies such as obesity (54) and bowel inflammation (55). *Firmicutes* to *Bacteroidetes* ratio should not be used as a parameter for health due to the lack of consistency in the ratio values for children and adults respectively. (26) A significant discrepancy in this specific ratio has been detected between infants ranging from 3 weeks to 10 months old and adults between the ages of 25 and 45 years, while the comparison between infants and elderly, who are 70 to 90 years of age, shows no difference. (56)

A shift in specific genes involved in vitamin biosynthesis and metabolism is observed between children and adults. (26) Folate is produced by phyla such as Proteobacteria, Firmicutes, Actinobacteria, and Verrucomicrobia in the gut. (57) Genes necessary for de novo synthesis of folate are in abundance during infancy until children attend school. (26,46) Folate is essential for DNA synthesis, replication, and repair. (58) Additionally, folate ensures the survival of CD4<sup>+</sup> regulatory T cells, especially in the intestine. This provides another benefit for the immune system. (59) Genes for the dietary utilization of folate are more prominent in adults. (26) Another vitamin noteworthy is vitamin B12. Vitamin B12 is produced by gut microbiota such as *Pseudomonas* and *Klebsiella sp.* (60) and is especially known for the development of neurological function. (61) Furthermore, adults can produce more vitamin B12 than infants. (46) However, a greater expression of genes that support the biosynthesis of Vitamin B12 was found in the gut microbiome of children. (26) The concentration of vitamin B12 reaches its maximum at the age of 7 years old, suggesting its neurological importance. (62) This example highlights that the gut microbiome of healthy children is equipped with anti-inflammatory genetic properties. (63) Furthermore, the metabolism of amino acids cysteine, lysine, tyrosine, and methionine is supported in children. These components are essential for the synthesis of biogenic amines and neurotransmitters, as they play a role in the gut-brain axis. (27) In contrast, pro-inflammatory genes and genes promoting metabolic imbalance are enriched in healthy adults. Examples therefore concern mechanisms during oxidative stress such as LPS production, the tricarboxylic acid cycle, and oxidative phosphorylation. (26,63)

The composition of the gut microbiome seems to correlate with life stages among individuals. Healthy children have similar microbiota and differ from those of adults. It has been observed, that the configuration of the gut microbiome among healthy adults seems to be alike. This phenomenon is assisted by the increase of *Faecalibacterium* spp. and *Bifidobacterium* spp. in children and the accumulation of *Bacteroides* spp. in adults. (26) A change in the composition of these species were commonly noticed in several studies regarding metabolic dysfunction and inflammation. (49,52,64,65) Adults characterized by a reduction in relative health present less *Faecalibacterium* spp. and *Bifidobacterium* spp. and an increase of *Bacteroides* spp. in their gut microbiota. (63)

Converging to a microbiota similar to adults allows more complex features and the ability to metabolize plant-derived polysaccharides, which is beneficial for the host and the microorganisms. (45) The gut microbiome reaches a plateau in relation to aging. It has been observed that the gut microbiome of a healthy adult remains balanced until reaching the senior stage, in which dysfunction and instability of the microbiota arise. (52,66,67)

## **1.2. Influencing factors on the gut microbial composition**

Throughout life, the establishment of the human gut microbiome is characterized by a dynamic process. External and internal factors can contribute to shaping the microbiota depending on the exposition, the host's developmental stage, and health condition. The following chapter highlights parameters influencing the development of the gut microbial composition from newborn age to adolescence.

### **1.2.1. Birth mode**

#### **Delivery**

To start with, the type of delivery influences the formation of the child's microbiome for months and could leave an impact on the onset of further diseases.

If the newborn passes the vaginal canal during birth, it encounters the vaginal and the gut microbiome of the mother. The majority of microorganisms in the gut of the baby can be compared to the vaginal microbiome of the birthing mother, such as the presence of *Lactobacillus* and *Prevotella*. Additionally, genera such as *Bacteroides*, *Bifidobacterium*, *Escherichia*, and *Streptococcus* spp. can be found in vaginally

delivered (VD) babies. As a result, birth through the vaginal canal provides a more diverse microbiome for the newborn rather than through CS. The majority of the infants' gut microbiome represents Bifidobacteria, which is associated with health benefits. (68)

By contrast, CS acts as a bypass towards the vaginal microbiota, allowing nosocomial microbes and the microbiome of the mother's skin to colonize the gut of the newborn. Examples therefore are *Propionibacterium*, *Corynebacterium*, and *Streptococcus*. The microbiota of CS delivered express less variety, characterized by the diminished representation of *Bifidobacteria* and *Bacteroides* and an abundance of *Clostridium*, *Staphylococcus*, and members of the Enterobacteriaceae family. (68) Additionally, Enterococci are more present due to the lack of the two previously mentioned species. (28) Thus, the transmission of *Bacteroides spp.* from the mothers would have occurred during vaginal delivery of the infant. Furthermore, in the case of CS-delivered infants, an increase of *Clostridium spp.* implies nosocomial infection. (40–42) Studies have shown that children, who were delivered by CS, were exposed to a higher risk of asthma bronchiale, celiac disease, type 1 diabetes (DM1), Crohn's disease (CD), and obesity in contrast to VD babies. (69–72) Moreover, the onset of allergic diseases is more likely to be promoted by the decrease in total diversity of the gut microbiota during the first month of life. (73) To minimize the risks and to imitate the vaginal environment to an extent degree, vaginal microbial transfer or fecal microbiota transfer could be options during CS delivery. It must be noted that screening of the mother is mandatory to prevent dysbiosis transmission to the newborn. The long-term advantages of these methods are yet to be determined. (74,75)

### **Gestational age**

Preterm babies are classified as such, who are born alive before completing 37 weeks of pregnancy calculated from the gestational age. (76) Alongside CS delivery, possible organ system-associated complications, and the risk of having a low birth weight require prolonged observance at the neonatal intensive care unit. The environment in the neonatal intensive care unit, early administration of antibiotics and other drugs, lack of extended skin-to-skin contact with the mother, and intake of breast milk set a disadvantage in the establishment of the gut microbiome. Under the circumstances of diminished diversity, the immune system cannot be primed and develop as effectively,

which results in perturbations regarding immune defense. (68) Leakage in the mucosal barrier favors microbiota translocation, the possibility of wandering into extraintestinal sites, which could lead to systemic inflammatory response syndrome or even multisystem organ failure. (77,78) As a result, this dysbiotic state could have a short- or long-term impact on an infant's health. (28) Diseases such as necrotizing enterocolitis or sepsis have been linked to the intestinal microbiome of preterm babies. (79) In comparison to full-term infants, facultative anaerobes come in abundance, which includes *Enterococcus*, *Enterobacter*, *Staphylococcus*, and *Lactobacillus*, whereas strict anaerobes, such as *Bifidobacterium*, *Bacteroides*, and *Atopobium*, appear less present in preterm babies. Moreover, the dominating phyla in the gut of preterm newborns are *Proteobacteria* and *Firmicutes*. (68) To counteract the microbial disadvantages, the intake of probiotics or breast milk should be introduced to the newborn.

### **1.2.2. Feeding method**

#### **Breastfeeding**

According to the World Health Organization, sole breastfeeding until the age of 6 months and in a supplemental manner until the age of 2 years is recommended. (80) The milk provided by the mother can be grouped into three classifications by order depending on the components: colostrum, ranging from birth to the first five days of lactation; followed by transitional milk until two weeks postpartum; and lastly, mature milk until 4-6 weeks postpartum. (81) The colostrum is asserted to be responsible for the immunologic development of the infant due to the elevated amounts of secretory immunoglobulins and immunomodulatory cytokines. Thus, the concentration of human milk oligosaccharides (HMOs) in colostrum is twice as high as in mature milk. Growth factors such as epidermal growth factor (EGF), transforming growth factor  $\beta$  (TGF- $\beta$ ) and colony-stimulating factor 1 (CSF-1) are more abundant in colostrum compared to mature milk. (82) As an example, with transforming growth factors  $\beta$  1 and 2, microbial richness, evenness, and diversity can be achieved in the early stages of life, and protection from atopic disease can be pursued. (83)

Depending on various factors, human breast milk consists of the following: lipids, mostly triacylglycerides; carbohydrates like lactose and human milk oligosaccharides; antibodies such as immunoglobulins found as secretory immunoglobulin A (SIgA) and

secretory immunoglobulin G (SIgG); proteins, in the groups of whey, caseins and mucin; non-protein nitrogen, with nucleotides as one of the components; and short chain fatty acids. (82) Furthermore, *Lactobacillus* and *Bifidobacterium spp.* present in breast milk could have an impact on the infant's microbiota formation. (84) The substantial amount of *Bifidobacterium breve*, *Bifidobacterium bifidum*, and *Bifidobacterium longum* are beneficial for the metabolism of human milk oligosaccharides. (44,47,85,86)

Studies have proven HMOs to be beneficial for the infant's health. Moreover, especially the growth of advantageous bacterial colonies, such as *Bifidobacteria*, is stimulated. (68,87) In addition, a lack of pathogens has been observed. (28) Epithelial pathogens mistake some HMOs for receptors due to their structural resemblance. While pathogens attach to these imitations, their adherence to the tissue is prohibited, which in turn allows their elimination. However, the amount of HMOs depends on the presence of the secretor gene in the mother, which is responsible for the production of 2'-fucosyllactose (2'-FL) and other fucosyl-HMOs. If the secretor gene is missing, a lower concentration of HMOs is established. (87)

The onset of a reduced breastfeeding period appears to be coherent with CS. Decreases in the amount of lactation in the first hour of life could have an impact on the composition of the gut microbiota of CS-delivered infants. (88)

## **Formula**

Even though the formula is given as a supplement to human breast milk, the components are not identical which consequently affects the microbiome. Compared to HMOs, a 9:1 ratio of galacto-oligosaccharides (GOS) and fructo-oligosaccharides (FOS) imitate a similar effect in activating *Bifidobacterium spp.* regarding the colon microflora. (89) GOS and FOS are possible prebiotic ingredients in the formula. The difference between GOS, FOS, and HMOs lies in structure and function. *Bifidobacteria* are targeted in a broad manner rather than specific and the defense mechanism towards pathogens is less effective. (90) To regain the benefits of HMOs, uniform supplementation in all formulas is necessary. Effects such as declined morbidity, especially bronchitis, reduction of antibiotic use, and lower risk for atopic diseases have been proven. (87)

Furthermore, the gut microbiome of formula-fed infants is more diverse, is characterized by higher concentrations of propionate and butyrate, and allows early differentiation to a microbiome similar to adults. (28) Within five days after transitioning from breastmilk to formula, the composition of the gut microbiome shifts, the alpha diversity is enhanced, and fecal pH increases. Instead of a microbiome dominated by *Bifidobacterium* spp. and *Lactobacillus* spp., the prevalence after weaning is taken over by *Bacteroidetes* spp. and *Firmicutes* spp. (91) In addition, alterations in the SCFA pattern could indicate shifts in bacterial residents of the colon. This coincides with a higher concentration of fecal SCFA in formula-fed infants rather than in those, who received breastmilk. (92) A more diverse microbiome serves various functionalities such as the utilization of complex carbohydrates and the production of vitamins, which converges to the adult configuration. (45) Therefore cessation of breastmilk has a major impact on the development of the gut flora in comparison to the introduction to solid food. (44)

### **1.2.3. Dietary intake**

Depending on the feeding method, changes in the infant's microbiota might appear when solids are introduced. (68) In breast-fed infants the shift is characterized by an enhanced presence of *Enterobacteria* and *Enterococci*, together with *Bacteroides* spp., *Clostridium*, and *Streptococcus* colonies. This change does not apply to formula-fed infants, due to the prior exposition to complex substances and the existing microorganisms listed above. (93) The pediatric microbiome starts to attain similarities to the gut flora with elevated bacterial load, community stability, increased levels of total SCFA, and richness in diversity, which serves as an advantage in metabolizing complex carbohydrates. (44,45,85) Moreover, the start of solid food intake offers a variety of substrates, which in turn promote the expression of metabolic pathways regarding vitamin biosynthesis and xenobiotic substances. (45)

De Filippo et al. compared the microbiome of children living in Boulpon, Burkina Faso and Florence, Italy. They suggest that the weight of dietary habits in influencing the gut flora is greater than climate, ethnicity, geography, hygiene, and sanitation. Infectious diseases have widely been under control by Western developed countries through the improvement of sanitation and the accessibility of antibiotics and vaccines. However, the incidences of hypersensitivities, autoimmune disorders, and inflammatory bowel disease in children and adults are surging. Due to the Western

diet rich in animal fat, sugar, and caloric density, the microbiota adjusts to the restricted nutritional value with the lack of genetic diversity. While the traditional rural African diet was mostly vegetarian, low in fat, and rich in starch and fiber, their microbiota was more diverse. The absence of pathogens such as *Shigella* and *Escherichia* may be justified through the increase of bacteria, which generate SCFA and protect the host from inflammatory and gastrointestinal diseases. (94) In summary, a Westernized lifestyle has a strong impact on the formation of the pediatric gut microbiome. (3) Alongside the Western diet, the risks of developing diseases such as coronary vascular disease, metabolic syndrome, and obesity are increased. (95)

To support this result, a study in Illinois found an association between the consumption of specific foods and the steady state of the gut microbiota among healthy children between 4 and 8 years of age. A diet high in fiber, and less in starch and sweetened beverages, allowed a more consistent configuration of the microorganisms. (10)

Another study classified three enterotypes in Dutch children depending on the dominant genera, which were either *Bacteroides*, *Prevotella*, or *Bifidobacterium*. The advantages of plant-based nutrition, which is characterized by low insulin levels, could only be found in children high in *Bacteroides* and *Prevotella*. Participants with a *Bifidobacterium*-oriented microbiota could not ferment complex carbohydrates in comparison to the opposite. Moreover, genes affiliated with butyrate biosynthesis were more expressed in the *Bacteroides*- than in the *Prevotella*-driven enterotype. The positive impact of *Streptococcus spp.* on a plant-based diet has been determined in *Bacteroides* and *Bifidobacterium*-dominated configurations. (50)

Differences in the presence of specific enzymes have been evident in a study with indigenous peoples of the Americas, participants in rural Malawi, and metropolitan areas of the United States due to their diet. Participants from the U.S. presented enzymes, that can break down amino acids and simple sugars, utilize a fat-rich diet with vitamin biosynthesis, and metabolize xenobiotics and bile salt. The latter showed increased amounts of  $\alpha$ -amylase, which supports the digestion of starch. An increased presence of the urease gene was detected in these two groups, which diminishes with age. While this enzyme remains low in the U.S. population until adulthood, urease could be vital allowing the microbial biosynthesis of essential and nonessential amino

acids with the resulting by-product ammonia. This suggests the potential benefits of urease for those who consume less protein. (46)

Perturbations during the formative stages of the microbiome, which are in the first two years of life, may influence the host's weight and length growth. The first one is by being involved in the utilization of energy, the process of fat storage, the feeling of satiety, and stimulating systemic inflammation. The latter is regarding  $\beta$ -diversity and microbiome maturity. (96)

Obesity is characterized by increased levels of Firmicutes and diminished values for Bacteroidetes. (97)

#### **1.2.4.Environment**

The hygiene hypothesis implies that the exposition of various environmental antigens improves the immune system in their development. Thus, reducing the chance to encounter atopic disorders. (98) Factors such as healthy lifestyle, wealth, past and present exposition to rural settings, and pets seem to contribute to healthy microbiome patterns. (99) Newborns, who lived in overly hygienic circumstances, presented discrepant configuration of the gut flora. However, other studies have observed that it may not have a relevant impact on the development of allergic diseases. (100)

The extent of influence through family members and siblings towards the colonization of the infant's gut is under debate. Sharing the same household results in similar compositions of the gut flora, as has been observed in mothers and fathers. Furthermore, the genetically related are more likely to harbor akin gut microbiota, irrespective of cohabitation. However, this condition does not necessarily differ between monozygotic and dizygotic twins, which indicates another reason outside genetics. Older siblings seem to cause higher amounts of Bifidobacterium to their 1-month-old sibling than infants without siblings. Furthermore, a more diverse and richer microbiome during the early childhood of younger siblings is associated with the presence of older siblings. In contrast, the infantile only child is characterized by an abundance of non-*Escherichia coli* enterobacteria and clostridia and a decreased anaerobe-to-facultative anaerobe ratio. Moreover, household pets do not have a distinct impact on the gut microbiome. (28)

While examining a family of six children from two months to ten years of age in comparison to their parents for about a month, the members of their microbiota remained coherent. However, the quantity of specific populations fluctuated, apparently unaffected by diet, environmental factors, or health. The reason being is the adjustment of the microbiome to individual disturbances such as food preferences. Therefore, cohabiting in the same environment and having a similar diet may be an important force to the gut flora. (101)

### ***1.2.5. Physical and Physiological Stress***

An increased load of emotional, physical, or mental pressure may cause a tense response in the human body. Depending on the frequency and duration of the stressor upon the human being, the magnitude of stress and its outcome depends on the individual. Along with the release of catecholamines like adrenaline and noradrenaline, stress enables the hypothalamic-pituitary-adrenal axis. Corticotropin-releasing hormones promote the synthesis of glucocorticoids such as cortisol in the adrenal cortex. However, the GIT and the gut microbiome are susceptible to stress and stress mediators. (95) Increased cortisol levels allow the gut wall to become more porous, which benefits bacterial translocation and in turn affects the microbial configuration. Specific gut microbiota on the other hand can produce proinflammatory cytokines, which can take effect on the hypothalamic-pituitary-adrenal axis. (102)

Examples of stressors found in a European study with children ranging from 4 to 11 years of age are afflictions within the family or in social settings or the experience of at least one impactful negative life event such as parental divorce. Additionally, the pressure to perform at school and stress of being bullied are stated among 11-year-olds internationally. Therefore, frequent snacking and foods high in fat and sugar are consumed for comfort. Compared to adults, adolescents are more likely to develop eating disorders and are prone to stress-eating. Stress levels affect the amount of fruit and vegetables incorporated in the diet inversely proportional in pediatric settings. Gut dysbiosis hinders appetite regulation through decreased SCFA levels, which normally would allow the production of peptide tyrosine tyrosine (PYY) and glucagon-like peptide-1 (GLP-1) in entero-endocrine cells and suppress hunger. (103)

Another risk of chronic stress lies in the development of ulcers and gut dysmotility. Moreover, athletes complain about GI issues such as bloody diarrhea, nausea, and

emesis. Those who perform high-intensity training are more likely to cause tissue hyperthermia and a decrease in blood flow and oxygen supply in the GIT, which affect the microbial composition and the gut barrier. Yet, exercise and the associated lifestyle presented an increase in diversity, reduction of inflammatory markers, and improvement in metabolic markers. Furthermore, regular exercise acts preventive towards type 2 diabetes (DM2), coronary artery disease, peripheral arterial disease, and, obesity. If extreme exercise is performed for longer, the adverse effects outweigh the benefits. Thus, intestinal ischemia could grant endotoxins the possibility to translocate. To counteract the negative aspects, probiotics such as *Lactobacillus* and *Bifidobacterium* spp. can be prescribed. (95)

### **1.2.6. Antibiotic therapy / intake of probiotics**

Antibiotic therapies are prescribed to target either a broad range of bacteria or specific taxa. However, systemic effects can apply to the gastrointestinal tract and the microbiota. (95)

The procedure of CS mostly requires maternal consumption of antibiotics before, during, and after labor, which has been proven to play a role in altering the infant's gut microbiota. An association between postnatal antibiotic intake, a relative increase in *Clostridium leptum*, and lower numbers of *Bifidobacterium* spp. und *Bacteroides* spp. has been found. (104–106) Limited diversity and the lack of *Bifidobacterium* spp. caused by these manipulated circumstances abet consequences later on in life. Therefore these two factors can be seen as biomarkers for disturbances in the development of the microbiome. (28)

To treat early-onset neonatal sepsis, administration of broad-spectrum antibiotics is necessary. Yet, combinations such as amoxicillin and cefotaxime have an impact on the community composition of the gut microbiome, the stability of microbiota development, and antimicrobial resistance gene shift promptly after therapy. Additionally, amoxicillin-clavulanate and gentamicin decrease the diversity within the GI microbiome and leave a prolonged impact on antimicrobial resistance. Thus, the selection of antibiotics needs to be taken into consideration given the possibilities of adverse side-effects on the newborn's gut microbiome. (107)

For example, macrolides caused a long-lasting disruption in the gut microbiota in a study with children ranging from 2-7 years of age. Alterations in configuration, function,

and antibiotic resistance have been observed. Antibiotic intake in the early stages of life, whether prenatal or postnatal, is associated with long-term consequences on metabolism. For instance, macrolide-associated weight gain has been described in children, who received the antibiotic prenatally. Other effects are promoting insulin resistance, DM2, and the onset of liver disease. Another example would be the link between the intake of cephalosporins and macrolides in early life and the development of asthma. Their less diverse microbiota is additionally characterized by the lack of *Lactobacillus* and/or *Bifidobacterium*, the abundance of *Bacteroides fragilis*, and members of the Proteobacteria. (28,108) Beside beneficial aspects that are associated with *Bacteroides spp.*, this genus plays a role in the emergence of bacteriemias and abscesses. The latter with *E.coli* as a representative is responsible for urinary tract infections, septicemia, and antimicrobial resistance. (109)

Problems towards antibiotic resistance can occur, when chromosomal genes of the microorganism mutate or when horizontal gene transfer proceeds in an environment, for example, gut microbiome, for antibiotic-resistant genes to be transmitted. The collection of such antibiotic-resistance genes in the human GIT is defined as the “gut resistome” and has been noticed in Gram-positive commensal bacteria, especially in Firmicutes. Through mechanisms, such as conjugation, membrane vesicle fusion, transduction, and transformation, horizontal gene transfer is possible. Furthermore, enzymes such as carbapenemases and extended-spectrum beta-lactamases, which are mostly present in *Escherichia coli* and *Klebsiella pneumoniae*, can easily be distributed in Proteobacteria. (13)

To balance out the post-therapeutical effects of antibiotics, recovery-associated bacteria such as *Alistipes*, *Bacteroides*, *Bifidobacterium*, *Coprococcus*, *Desulfovibrio*, *Faecalibacterium*, *Parabacteroides*, *Roseburia*, *Ruminococcus*, and *Subdoligranulum* assist this process. (110)

Unlike antibiotics, probiotics are additional live microorganisms that are advantageous in appropriate amounts to the host's health. Focusing on the human gut, *Bifidobacterium*, *Lactobacillus*, and *Saccharomyces* are well-known examples, while *Akkermansia*, *Faecalibacterium*, *Roseburia*, and *Propionibacterium spp.* still are under observation and might continue the list. Functions vary among the probiotics, ranging from the adjustment of immune defense and enzyme formation, communication

among other microbiota, and production of antimicrobial compounds and organic acids. As an example, lactic and acetic acids are the primary end products of *Lactobacillus* and *Bifidobacterium*, which can decrease pH levels in the intestinal lumen and prevent the expansion of pathogens. (111) Furthermore, these two genera are known for lowering the risk of diseases such as gastroenteritis, genitourinary tract infections, inflammatory disease, irritable bowel syndrome, and diseases related to compromised immunity. (112) Through a process called cross-feeding, where nutrients can be transferred among microbes, (113) further SCFA can be released in other microbes. (111,114)

In contrast to probiotics are prebiotics, which are beneficial substrates for the host that are selectively used by microbiota. Evidence has been provided towards glucans and fructans and is growing for further molecules, such as starches, human milk, pectin, and polyphenols. (111)

### **1.2.7. Changes during the onset of an illness**

Similarly to the impact of excessive exercise on the GIT, patients who are critically ill present gut ischemia and mucosal damage. The magnitude of beneficial effects of probiotics, prebiotics, or synbiotics towards the clinical outcome of the critically ill is yet to be understood. (95)

During admission to the hospital, intake of broad-spectrum antimicrobials might be necessary. Consequences of chronic illness on nutrition and breathing, of which hypoxia can result, interfere with the gut microbiota. The presence of recovery-associated bacteria diminishes along with the duration of antimicrobial treatment. Therefore, keeping observation on bile acids and fecal metabolites such as SCFAs and sugars is essential to estimate the condition of the gut microbiota. (110)

Compared to healthy children and adults, a deficit in alpha diversity and an altered beta diversity have been observed in critically ill children. Furthermore, symbionts in the gut like *Faecalibacterium* and *Ruminococcus* are less present, while pathogens such as *Enterococcus* and *Staphylococcus* accumulate. The resulting dysbiosis from the ICU stay influences adverse events such as nosocomial infection, neurocognitive outcomes, and undernutrition. (115) Hence, the gut microbiome could be taken into consideration to reach therapeutic goals in patients, who suffer from critical illness. (116)

The phyla Bacteroidetes and Firmicutes are the most prevalent in the healthy. In contrast phyla such as Proteobacteria, Verrucomicrobiota, Actinobacteria, or Fusobacteria are dominant in individuals presenting illness. (1)

*Bacteroides fragilis*, *Bacteroides vulgatus*, and *Bacteroides dorei* are associated with abdominal infections, inflammatory responses regarding celiac disease, and other GI disorders and metabolic disease. (117,118)

Infants with colic presented minor enhancements regarding diversity, which remained until two years postnatal. Moreover, *Bifidobacterium* spp. and *Lactobacillus* spp. were underrepresented compared to healthy controls. These changes in the microbiome may promote the onset of colic, characterized by distinct symptoms in the first 6 weeks of the postnatal period. (28)

### **1.3. Immune tolerance and autoimmunity**

#### **1.3.1 Components of the immune system**

The innate and the acquired immune system build the defense mechanism at a cellular level. Messengers in the form of cytokines, such as interleukins (IL), interferons (IFN) and tumor necrosis factor, and chemokines are necessary for the assignment of immune cells to the site. Cytokines are responsible for the magnitude of the immune reaction, in which malfunction is associated with autoimmune diseases. (119) For instance, type 1 interferons (IFN-I) like IFN- $\alpha$ , IFN- $\beta$ , IFN- $\epsilon$ , IFN- $\omega$ , and IFN- $\kappa$  are involved in systemic lupus erythematosus and sclerosis. (120) On the other hand, chemokines promote the passage of immune cells to the site of interest. Dysregulations in chemokines affect malignancies and autoimmune and inflammatory disorders. (121) Uncontrolled stream of those cells aggravates conditions in the lungs, as seen in chronic obstructive pulmonary disease. (122)

Macrophages, granulocytes, mast cells, natural killer cells, dendritic cells (DCs), and the complement system compose the innate immune system. Macrophages can determine pathogen-associated molecular patterns (PAMPs) through receptors such as complement receptors, scavenger receptors, and toll-like receptors (TLR), which lead to phagocytosis of the intruder. (123) Additionally, the complement system, in which proteins cause an enzyme cascade for its activation, is relevant for the opsonizing process and lysis of pathogens. (119) Granulocytes consist of neutrophils, eosinophils, and basophils, which differ in their prior abilities. Neutrophils are the first cells to reach the site of infection through migration after receiving a chemokine signal. Eosinophils are relevant in terminating helminths, and basophils play a role in allergic reactions. Yet, mast cells are the main cells involved in allergies as they contribute histamine and cytokines to the tissue, which in turn promotes the inflammatory response through IgE. In contrast, natural killer cells prohibit the expansion of contaminated cells through cytolysis. (119) DCs are capable of distinguishing and discarding external pathogens (124), paving the way for antigens to mucosa-associated lymphoid tissue (MALT) or towards draining lymph node antigens. (125) Most importantly, DCs connect the innate and the acquired immune systems. During antigen presentation through the major histocompatibility complex, B and T lymphocytes are activated. Now, the acquired immune system supports the innate component with more specific agents. Depending on the selection process in the

thymus, T lymphocytes can turn into cluster of differentiation (CD) cells such as CD4<sup>+</sup> or CD8<sup>+</sup> T cells. Moreover, CD4<sup>+</sup> T cells as T follicular helper cells promote the swap of information into B cells. Memory T and memory B cells are formed upon the first encounter of an antigen or pathogen, allowing the immune system to remember that a specific pathogen is an already known target and to react more quickly at a future encounter. The primary usage of B cells is the secretion of antibodies through the differentiation into plasma cells. Antibodies have an opsonizing and neutralizing function and have an activating effect on the complement system. (119)

The acquired or adaptive immune response ensures central tolerance and peripheral tolerance. During central tolerance, self-reactive T cells are removed through the thymus, (126) while self-reactive B cells are eliminated in the bone marrow. (127) Peripheral tolerance is achieved through peripheral deletion, clonal anergy of autoreactive cells, and the active elimination of autoreactive T cell responses by regulatory T cells (Tregs). (126) Tregs can either develop from the thymus, known as natural Tregs, or from the periphery, known as acquired Tregs. (126) Tregs limit immune responses through modulating the function or the development of DCs, apoptosis through granzymes, and metabolic disruption through the expression of CD25. Additionally, Tregs secrete cytokines such as TGF- $\beta$ , IL-10, and IL-35, which have an impact on the regulation of effector T cell signaling, IFN- $\gamma$  levels, the recruitment of further Tregs and the expression of forkhead box P3 (FoxP3). (128) Autoreactive B cells are removed through processes such as receptor editing, clonal deletion, or follicular exclusion as part of clonal anergy. (127) If a lymphocyte is anergic, its functional inactivity occurs after contact with an antigen and it remains in a hyporesponsive state for a certain amount of time. (129) Regulatory B cells (Bregs) are defined as B cells with immune suppressive behavior, as Catalán et al. (130) described. Bregs release immunomodulatory cytokines similar to Tregs, which are TGF- $\beta$ , IL-10, and IL-35. Bregs can secrete granzymes as well and lead to apoptosis of targeted cells. Immune checkpoints regulate immune responses and ensure self-tolerance. (130) These receptors can either promote immune responses (co-stimulatory immune checkpoints) or prevent immune responses (co-inhibitory immune checkpoints). (131) Co-inhibitory receptors such as programmed cell death protein 1 (PD-1), cytotoxic T lymphocyte-associated protein 4 (CTLA4) (132,133), lymphocyte activation gene 3 (LAG-3), T lymphocyte immunoglobulin domain and mucin domain

3 (TIM-3) and T cell immunoreceptor with Ig and ITIM domains (TIGIT) are known. (131) PD-1 can be found on monocytes, DCs, natural killer cells, and lymphocytes such as B and T cells. (130) In contrast, CTLA-4 is located on activated T cells (CD4<sup>+</sup>, CD8<sup>+</sup>) (134) and can essentially be expressed on Tregs. (135) While TIGIT is located on natural killer cells and T cells (CD4<sup>+</sup>, CD8<sup>+</sup> and Tregs), (136) LAG-3 is found on activated T cells (CD4<sup>+</sup>, CD8<sup>+</sup>) and TIM-3 is expressed on T follicular helper cells (CD4<sup>+</sup>). (131) Examples of co-stimulatory receptors are CD2 and inducible T cell co-stimulator (ICOS) on T cells, which represent the Ig receptor superfamily (IgSF), and TNF receptor 9 (TNFR9 or 4-1BB) on DCs, macrophages and B cells, and TNFR4 (or OX40) additionally on antigen presenting cells, endothelial cells and smooth muscle cells, which represent TNF receptor superfamily (TNFRSF). (137)

Vitamins such as vitamin A, from which the metabolite retinoic acid originates, 1,25-dihydroxy-vitamin D<sub>3</sub> (vitamin D<sub>3</sub>), and vitamin E, in which the form  $\alpha$ -tocopherol is broader understood than other forms, have shown immunoregulatory properties. (138,139) Retinoic acid supports the adaptive immune system through the increased capability of cytotoxicity, proliferation and differentiation of T cells including Tregs, and modulation of DC function. Suppressing effects on B cell proliferation and B cell apoptosis were noted, however, B cell activation was observed as in studies by Ertesvag et al. (140) and Saurer et al. (141) Vitamin D<sub>3</sub> mainly demonstrates inhibiting effects on the adaptive immune system. Vitamin D<sub>3</sub> suppresses T cells, promotes Tregs, and reduces the proliferation of B cells and the differentiation of plasma cells. The innate immune response can be supported by increased monocyte proliferation and the secretion of interleukins and antimicrobial peptides. However, vitamin D<sub>3</sub> can suppress the differentiation and function of DCs. (138) Vitamin E promotes the innate and adaptive immune response. This can be achieved through ensuring cell membrane integrity, signal transduction, and the availability of mediators. Additionally, vitamin E can modulate the function of T cells and suppress inflammatory responses. (139)

### ***1.3.2 Immune evasion (e.g. tolerance towards the healthy gut flora)***

Adaptation towards the host's own symbiotic microbiota and recognition of foreign antigens and pathogens have a fundamental impact on the host's innate and acquired immune response. The gut epithelium consists of certain intestinal epithelial cells, of which each differs in their functionality, called Enteroendocrine cells, Enterocytes,

Goblet cells, and Paneth cells. (142) However, these cells commonly provide antimicrobial peptides as a protective measure for the symbiotic gut microbiota. (143) Together with mucin glycoproteins produced by goblet cells, mucus is known to be part of the innate immune response in the gastrointestinal tract. (144) Therefore it protects the tissue from the consequences of attaching antigens, digestive enzymes, and acids, trespassing foreign particles and pathogens into the epithelium. (145)

Furthermore, this “demilitarized zone” builds a division between the host and the gut microbiome itself. (146) TLR, nucleotide-binding oligomerization domain-containing (NOD) protein-like receptors and short chain fatty acids help with detecting microorganisms and their by-products to maintain the barrier and homeostasis of the tissue. (8) Moreover, cytokines produced by the gut microbiota have an impact on Enteroendocrine cells. (147) Metabolites and products from symbionts influence the development and functional tuning of the host immune system. (8)

The homeostasis among commensal gut microbes is maintained by colonization resistance and quorum sensing. (148) Colonization resistance impedes foreign microorganisms from harboring the same niche as commensals and taking advantage of the resources and the space. (149–151) During this process, commensals defend their habitat and the metabolites available for their use. (152) Furthermore, bacteria can consistently estimate the environment through quorum sensing. (153,154) Signaling molecules produced during bacterial replication give feedback on the population density, upon which gene expression can be adapted. Additionally, these chemical signals can alter the abilities of bacteria regarding adherence, motility, and the production of protective compounds. (148)

Depending on the intestinal segment, the formation of the mucus layer varies, thus allowing different locations of the gut microbiota. The single mucus layer in the small intestine is loosely adherent to the epithelium, which guarantees nutrient absorption for the host and allows the secretion of digestive enzymes in the brush border membrane of epithelial cells. Due to this structure, the mucus barrier can be surpassed by bacteria. Therefore antibacterial modulators are necessary to act as a defense mechanism against bacterial invasion and sustainment of epithelial crypts. (145,155) On the contrary, two continuous mucus layers have been found in the distal colon.

Mucosal tolerance towards the commensal human gut microbiome is provided by Tregs and immunosuppressive cytokines such as interleukin-10 (IL10), (156) transforming growth factor  $\beta$  (TGF-  $\beta$ ) (157). Mucosa-associated invariant T (MAIT) cells represent a class of innate-like T lymphocytes, which can be found in many tissues. Mucosa-associated invariant T cells are especially abundant in the gut and help the identification of commensal microbes through their produced riboflavin metabolites. (158) Mononuclear phagocytes, such as macrophages and DCs, and CD4<sup>+</sup> T cells are involved in the alignment. (159–161) The utilization of immune cell priming allows the systemic immune response to adapt to the commensal microbiome. Primed DCs are prohibited to enter the circulatory system or reach lymphatic organs, to ensure the absence of inflammatory response under physiological circumstances. If the presence of pathogens is recognized, the immune system reacts accordingly for defense. (156)

### ***1.3.3. Causes of autoimmunity and potential triggers***

However, autoantibodies can classify the body's tissue, cells, and hormones as foreign. This process can be physiological in natural autoantibodies to sustain homeostasis. Autoimmune diseases occur when the immune tolerance is disrupted which leads to the involvement of autoantibodies, auto-reactive B, and/or T lymphocytes in inflammatory responses. This phenomenon can affect various organs and even damage multiple sites such as in DM1, multiple sclerosis, and systemic lupus erythematosus. (162) Examples of autoimmune diseases primarily affecting one organ system are Hashimoto's disease in the thyroid gland and inflammatory bowel disease (IBD) in the gastrointestinal tract. The latter includes CD, ulcerative colitis (UC), and inflammatory bowel disease unclassified (IBDU). (163) In this case, the bond between tight junctions and epithelial cells disintegrates and results in porous mucosa. (164) This in turn leads to increased permeability and causes tissue inflammation. (165,166)

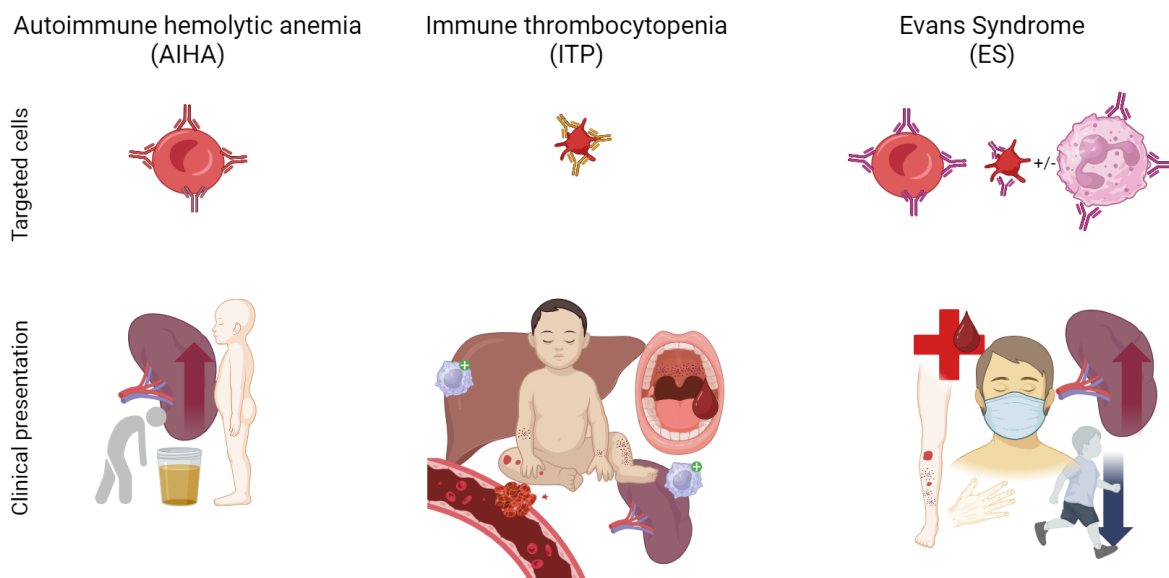
In most cases, females are more likely to develop autoimmunity in comparison to men. Exceptions are DM1, CD, and UC, where the female-to-male ratio is at 1:1. The genetic predisposition plays a role in the manifestation of autoimmune diseases. Yet, nutrition, the composition of the microbiota, vaccines, and infections as well as external factors such as tobacco smoke and ultraviolet light radiation can trigger pathologic autoimmune responses. (162,167)

#### **1.3.4. Severe Immune Cytopenias**

Autoimmune conditions can affect the blood as an organ system and therefore present hematological symptoms. To narrow the topic, the focus will lie on SIC, such as autoimmune hemolytic anemia, Evans syndrome, and immune thrombocytopenia. All mentioned conditions are rare in children. AIHA has an annual incidence of 0.8 to 1.2 cases per 100,000 children in Aquitaine, France (168,169), the annual incidence of ITP registered 1.0 to 6.4 cases per 100,000 children in a British database (170,171) and the annual incidence of ES lies between 6.7 and 19.3 cases per 1,000,000 children of a Danish cohort. (172) As the name suggests, autoantibodies fail to recognize the body's blood cells through antigens, resulting in defective defense mechanisms and health consequences. While red blood cells (RBC) are being attacked in patients with AIHA (173), peripheral platelets are targeted in patients with ITP (174). Originally, ES relies on the simultaneous presence of both conditions. (175) However, recent definitions describe ES as a cytopenia affecting two or all three blood cell lineages caused by immune dysregulation, which now includes autoimmune neutropenia besides AIHA and ITP. (176–178) Before the manifestation of SIC, the immune response could likely be triggered through viral infections or vaccinations. (176,179)

The acknowledgment and concomitant treatment of underlying causes of SICs are essential. Patient and family history should be further investigated regarding infections and malignancies. (176) Especially in pediatric patients, SICs can result from immunological disorders, such as inborn errors of immunity, which may have been overlooked. (180) An association of AIHA and ES with IEI has been found more frequently than of isolated ITP. (181,182)

## Overview on Severe Immune Cytopenias (SICs)



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*Figure 1. Simplified overview of the characteristics of Severe Immune Cytopenias (SIC). In autoimmune hemolytic anemia (AIHA) antibodies attack red blood cells, while in immune thrombocytopenia (ITP) platelets are targeted. Two blood lineages are affected in Evans syndrome (ES), which can include immune neutropenia. The signs and symptoms in ES are a combination of AIHA and ITP. Created with BioRender.com*

### **Autoimmune hemolytic anemia**

AIHA can be distinguished into primary AIHA and secondary AIHA, the latter being the result of an underlying cause or disease. AIHA can occur as warm-reactive AIHA (W-AIHA), cold-agglutinin AIHA (C-AIHA), or paroxysmal cold hemoglobinuria (PCH). However, W-AIHA is more prevalent in children and will be referred to as AIHA throughout this thesis, as it makes up about 90% of all cases. Anemic features such as fatigue, dark-colored urine, jaundice, pallor, and splenomegaly are presented clinically. Yet, fulminant cases are at risk of fatal outcomes. (173,179) Therapeutic measures for AIHA aim for remission with Prednisolone as first-line treatment. (180)

### **Immune thrombocytopenia**

ITP presents isolated thrombocytopenia with a platelet count  $< 100 \times 10^9/L$  as a primary cause. A differentiation between acute, persistent, and chronic ITP is made. As a result, the patient suffers from mucocutaneous bleeding to various extent in different parts of the body. (179) While the severity of thrombocytopenia can be high, lethal bleeding is seldom. (183) First-line options for treating patients with ITP are intravenous immunoglobulin (IVIg), Dexamethasone, and anti-D-Immunoglobulins,

the latter applies if the Rhesus-factor is positive. Here, clinicians intend to improve the quality of life and lower the risk of hemorrhage. (180)

### **Evans syndrome**

In general, the clinical presentation of ES combines anemic features from W-AIHA and the thrombocytopenic purpura due to ITP. (176,184) Symptoms regarding AIHA also include dyspnea and lack of energy. When immune neutropenia is a feature of ES, recurrent infections may be present in affected patients. (185) However, AIHA displays more of a fundamental role in ES compared to ITP. (176) Thus, 20-25% of pediatric patients with AIHA additionally manifest ITP, while those with ITP rarely develop AIHA. (182) Similar to AIHA, Prednisolone is recommended as first-line therapy in ES. (180)

### **1.3.5 Inborn Errors of Immunity**

IEI with immune dysregulation are risk factors for the onset of autoimmune cytopenia in pediatric patients. (181,186–188) Besides the most frequent category of IEI with predominant antibody deficiencies and potential autoantibody formation, primary immune regulatory disorders (PIRDs) are a subgroup of IEI, in which autoimmune cytopenia can be the first clinical manifestation. (189–191) To date, IEI includes a wide range of 485 diseases, of which a minimum of 430 gene defects have been identified. (192,193) Immune deficits could be expressed in the form of allergies, and inflammatory and autoimmune responses, thus, promoting the vulnerability to infections and malignancies. (186) As an example, AIHA and ITP often appear in patients with Common Variable Immunodeficiency (CVID). (194) The same applies to those with (Severe) Combined Immune Deficiency (SCID, CID), with AIHA being more prevalent as a consequence. Additionally, Autoimmune Lymphoproliferative Syndrome (ALPS) frequently results in AIHA and ES. In contrast, Cytotoxic T-Lymphocyte Associated Protein 4 (CTLA-4) Deficiency and LPS-responsive and beige-like anchor protein (LRBA) Defect are conditions linked to ES. (195,196)

As the term “IEI” covers various diseases with heterogeneous characteristics, a common therapeutic scheme is not applicable. (187) Immunosuppressants seem to benefit patients under IEIs with autoimmune-mediated cytopenia, while others require hematopoietic stem cell transplantation in early stages according to international transplantation guidelines and clinical trials.

<b>Category I</b>	<b>Immunodeficiencies affecting cellular and humoral immunity</b>
T-B+ Severe Combined Immunodeficiencies (SCID)	Janus kinase 3 (JAK3) deficiency, Leucocyte common antigen (CD45) deficiency..
T-B- SCID	Recombination activating gene (RAG) deficiency, Adenylate kinase 2 (AK2) defect,..
CIDs without associated or syndromic features that are generally less profound than SCID	Cluster of differentiation 40 (CD40) deficiency, Interleukin 21 (IL-21) deficiency..
<b>Category II</b>	<b>Combined immunodeficiencies with associated or syndromic features</b>
Immunodeficiency with Congenital Thrombocytopenia	Wiskott Aldrich syndrome (WAS), WAS protein-interacting protein (WIP) deficiency,..
DNA repair defects other than forms of SCID in category	Ataxia-telangiectasia, Nijmegen breakage syndrome,..
Thymic defects with additional congenital anomalies	DiGeorge 22q11.2 deletion syndrome,..
Immuno-osseous dysplasias	Cartilage hair hypoplasia (CHH), Schimke immuno-osseous dysplasia,..
Hyper-IgE syndromes (HIES)	Interleukin-6 (IL-6) receptor deficiency,..
Defects of vitamin B12 and folate metabolism	Transcobalamin 2 deficiency,..
Anhidrotic ectodermodyplasia with immunodeficiency (EDA-ID)	EDA-ID due to IκBα gain of function (GOF) mutation,..
Calcium channel defects	Stromal interaction molecule 1 (STIM1) deficiency,..
other defects	Purine nucleoside phosphorylase deficiency,..
<b>Category III</b>	<b>Predominantly antibody deficiencies</b>
Severe reduction in all serum immunoglobulin isotypes with profoundly decreased or absent B cells, Agammaglobulinemia	X-linked agammaglobulinemia (XLA), p85 deficiency,..
Severe reduction in at least 2 serum immunoglobulin isotypes with normal or low number of B cells, CVID phenotype	Common variable immune deficiency with no gene defect specified (CVID),..
Severe reduction in serum IgG and IgA with normal/elevated IgM and normal numbers of B cells, Hyper IgM	MutS homolog 6 (MSH6) deficiency,..
Isotype, light chain or functional deficiencies with generally normal numbers of B cells	Kappa chain deficiency, selective Immunglobulin A (IgA) deficiency,..
<b>Category IV</b>	<b>Diseases of immune dysregulation</b>
Familial hemophagocytic lymphohistiocytosis (FHL syndromes)	Perforin deficiency (FHL2),.
FHL syndromes with hypopigmentation	Chediak-Higashi syndrome,..
Regulatory T cell defects	Lipopolysaccharide-responsive and beige-like anchor protein (LRBA) deficiency, cytotoxic T-lymphocyte antigen 4 (CTLA4) halpainsufficiency, immune dysregulation, polyendocrinopathy, enteropathy X-linked (IPEX),..
Autoimmunity with or without lymphoproliferation	autoimmune polyendocrinopathy with candidiasis and ectodermal dystrophy (APECED),..
Immune dysregulation with colitis	Interleukin-10 (IL-10) deficiency, IL-10 receptor (IL-10R) deficiency,..
Autoimmune lymphoproliferative syndrome (ALPS, Canale-Smith syndrome)	ALPS-Fas cell surface death receptor (FAS), ALPS-Caspase10,..
Susceptibility to Epstein-Barr-Virus (EBV) and lymphoproliferative conditions	CD70 deficiency, X-linked magnesium EBV and neoplasia (XMEN),..

<b>Category V</b>	<b>Congenital defects of phagocyte number or function</b>
Congenital neutropenias	Elastase deficiency/Severe congenital neutropenia 1, Granulocyte-colony stimulating factor (G-CSF) receptor deficiency,..
Defects of motility	Cystic fibrosis, Leukocyte adhesion deficiency type 1 (LAD1),..
Defects of respiratory burst	X-linked chronic granulomatous disease (CGD),..
other non-lymphoid defects	GATA2 deficiency, Pulmonary alveolar proteinosis
<b>Category VI</b>	<b>Defects in intrinsic and innate immunity</b>
Mendelian susceptibility to mycobacterial disease (MSMD)	Janus kinase 1 (JAK1) deficiency, Interferon $\gamma$ (IFN- $\gamma$ ) receptor 1 deficiency,..
Epidermodysplasia verruciformis (HPV)	Epidermodysplasia verruciformis gene 1 (EVER1) deficiency, Warts, hypogammaglobulinemia, infections, myelokathexis (WHIM) syndrome,..
Predisposition to severe viral infection	RNA polymerase III deficiency, Anti-Melanoma Differentiation-associated gene 5 (MDA5) deficiency,..
Herpes simplex encephalitis (HSE)	Toll-like receptor 3 (TLR3) deficiency, Tumor necrosis factor (TNF) receptor-associated factor 3 (TRAF3) deficiency,..
Predisposition to invasive fungal diseases	Caspase recruitment domain containing protein 9 (CARD9) deficiency,..
Predisposition to mucocutaneous candidiasis	IL-17 receptor A (IL-17RA) deficiency,..
TLR signaling pathway deficiency with bacterial susceptibility	Myeloid differentiation primary response 88 (MyD88) deficiency, TLR7 deficiency,..
Other IEI related to non-hematopoietic tissues	Isolated congenital asplenia (ICA), acute necrotizing encephalopathy,..
Other IEI related to leukocytes	Interferon regulatory factor 4 (IRF4) haploinsufficiency,..
<b>Category VII</b>	<b>Autoinflammatory disorders</b>
Type 1 interferonopathies	Adenosine deaminase 2 (ADA2) deficiency, pediatric systemic lupus erythematosus due to Deoxyribonuclease 1-like 3 (DNASE1L3) deficiency,..
Defects affecting the inflammasome	Familial mediterranean fever, Hyper IgD syndrome,..
Non-inflammasome related conditions	TNF receptor-associated periodic syndrome (TRAPS),..
<b>Category VIII</b>	<b>Complement deficiencies</b>
C1q deficiency due to defects, C2 deficiency, complete C4 deficiency, Factor I deficiency, Thrombomodulin deficiency,..	
<b>Category IX</b>	<b>Bone marrow failure</b>
Fanconi Anemia Type A, Ataxia pancytopenia syndrome, Myeodysplasia, infection, restriction of growth, adrenal hypoplasia, genital phenotypes, enteropathy (MIRAGE),..	

Figure 2. Continuation of Classification of Human Inborn Errors of Immunity (IEI) from International Union of Immunological Societies Expert Committee, taken from Tangye, Al-Herz, Bousfiha et al. 2022 (193)

#### 1.4. The correlation between gut dysbiosis and diseases

Under the influence of pathogenic processes, an imbalance of the gut microbiome is the result. Mucosa leakage allows the gut bacteria to reach mesenteric lymph nodes (mLN) and enter the blood circulation. This can cause discrepancies in the composition of cytokines in the gut mucosa and promote inflammatory responses of the mLN. As a response, Th17 and effector T cells get activated, which leads to the migration of neutrophils in the tissue. Thus, local inflammation in the gut can have a systemic impact through the influence on the immune system. (156,197)

### ***1.4.1. Impact on the immune system***

Studies proved that germ-free mice with an absence of intestinal microbiota presented immune deficiencies. Their characteristics were a loss of mucous layer, modification in IgA secretion, reduction of proportion and functionality of Peyer's patches, which are lymphoid follicles arranged in groups located in specific areas of the GIT, and insufficient drainage through mLN. (198,199)

For instance, the expression of Angiogenin-4 (Ang4), one of the antimicrobial peptides produced by Paneth cells, is lower in germ-free mice in contrast to the healthy cohort. (200) The presence of Angiogenin-1 (Ang1) prohibits the expansion of Alphaproteobacteria and in turn promotes the growth of Lachnospiraceae. (201) Furthermore, a lack of IgA-secreting plasma cells in the lamina propria, intraepithelial lymphocytes (202), and Tregs (203) is reported in germ-free mice. (124)

As an example, Trompette et al. found that higher levels of SCFA impact the production and function of DCs, macrophages, and their precursors in lung diseases. In their study, we see that a diet rich in fiber correlates with an increased abundance of the phylum Bacteroidetes, which in turn elevates SCFA levels in the blood. (204)

Alterations of the gut microbiota impact the differentiation and the supply of antibodies. IgE levels can be controlled by the diversity of the gut microbiome. (205) Early contact with omnipresent microbes could result in greater diversity and elevate the effectiveness of the immune response towards pathogens. Additionally, IgA deficiencies are characterized by a less diverse gut microbiome, as IgA can impact the formation and function of the gut microbiome. (206)

### **Illustration of the immune defense**

Commensal microbes in the gut are involved in protecting the host from other microorganisms which can be mutualists, parasites, or even pathogens. During colonization resistance, commensals defend their habitat through the production of antimicrobial molecules and metabolites. These substances limit pathogens in their survival and their virulent characteristics. (207) These can enzymatically target the bacterial cell wall or the bacterial inner membrane. (143) Additionally, commensals stimulate the production of epithelial antimicrobial peptides (AMPs) to strengthen tight junctions. (207) This process promotes an intact epithelial barrier against intruders. An

example of epithelial AMPs is the lectin RegIIIγ, which acts bactericidal towards Gram-positive bacteria. (208–210) The effects of AMPs such as RegIIIγ support the “demilitarized zone” in the gut. (146) Furthermore, the effect of commensals towards effector T and B cells serves as another opportunity to combat pathogens. (207)

Translocated commensals and mutualists are executed by macrophages or DCs in the lamina propria to prevent the invasion of tissue. (211,212) DCs sample commensals harbored in the gut, which communicate with B and T cells in mLN and Peyer’s patches. (212) IgA<sup>+</sup> B cells travel to the intestinal lamina propria and secrete SIgA to the mucosa. These SIgA are directed specifically towards antigens found on commensals. They influence the gene expression of commensals and prohibit commensals from adhering to the epithelium. (168,207,213,214) Therefore, SIgA contributes to the maintenance of an intact intestinal barrier by prohibiting inflammation caused by bacteria. (214)

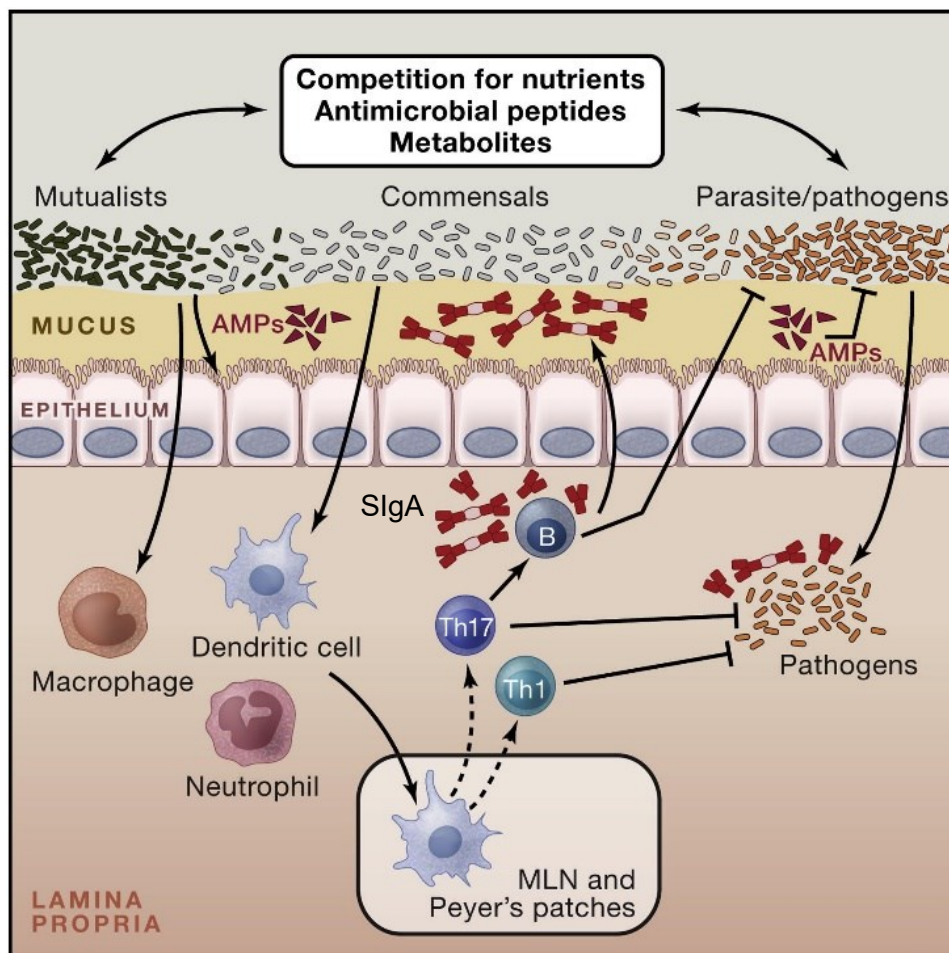


Figure 3. Promotion of Protective Immunity by the Microbiota, taken from Belkaid and Hand, 2014. (207)

## **Inflammation process**

Increased permeability of the gut epithelium and promoted commensal bacterial translocation are caused by gut dysbiosis. (164,165) Polysaccharides produced by *Bacteroides spp.* and mucosa adherent segmented filamentous bacteria stimulate Th1 and Th17 cells to eliminate the translocated bacteria. (215,216) Due to the number of translocated bacteria, this strategy appears to be ineffective. The majority of bacteria activate TLRs and boost the production of proinflammatory cytokines. As a result, the intestinal tissue is affected by chronic inflammation. (217) Additionally, gut dysbiosis affects the development of the innate immune system such as the functions of neutrophils and DC. This leads to an ineffective defense mechanism against pathogens and a decrease in IFN- $\gamma$  and IL-15. (218,219)

Inflammatory markers in the gut include LPS, SCFA, C-reactive protein (CRP), trimethylamine N-oxide (TMAO), and cytokines such as TNF- $\alpha$ . (220) Increased permeability in the gut epithelium allows LPS to enter systemic circulation, which is hindered in the physiological state. This results in local tissue inflammation as macrophages either enter the site or are activated peripherally. (221) Proinflammatory mediators secreted in intestinal and extraintestinal sites occur due to LPS binding TLR-4 on immune cells. (222) In addition, SCFA such as butyrate reduces the shift of LPS outside the gut epithelium. (223) TMAO is a product of hepatic flavin monooxygenase, of which the substrate was trimethylamine. Trimethylamine is a product of the genera *Clostridium*, *Escherichia*, and *Proteus* utilizing dietary choline, carnitine, and betaine. These components can be found in animal meat. (224,225) Increased serum levels of TMAO are associated with endothelial dysfunction as in vascular inflammation, atherosclerosis, and cardiovascular risk factors like type 2 diabetes and chronic kidney disease. (226–229) Furthermore, elevated TMAO boosts the production of the pro-inflammatory cytokine TNF- $\alpha$ . (230) TNF- $\alpha$  is secreted by macrophages, T-lymphocytes, and natural killer cells. (231) This cytokine plays a role in metabolic disorders such as insulin resistance and glucose intolerance. (232)

## **Alterations in response due to dysbiosis**

Gut microbial imbalance plays an important role in the development of inflammatory bowel diseases (IBD). Decreased richness of the gut microbiome is observed in patients with IBD. (233,234) Pathogens such as *Clostridium difficile*, *Escherichia coli*,

and *Fusobacterium nucleatum* might directly cause IBD. However, the diverse microbial composition of IBD patients challenges the search for a single species responsible for the development of the disease. Functionally changes in the microbiota such as diminished presence of butyrate-producing bacteria and promoted sulfate reduction are found. (235,236) Sulfate-reducing bacteria such as *Desulfovibrio* and *Desulfomicrobium* from the class of Deltaproteobacteria were more abundant in patients with IBD. (237,238) These sulfate-reducing bacteria produce hydrogen sulfide, which enhances gut inflammation along with the lack of butyrate in IBD patients. (197)

While multiple sclerosis rarely affects children, the onset can occur before 18 years of age. (239,240) In adults, Chen et al. found a discrepant gut microbiome of MS patients compared to healthy controls. The genera *Blautia*, *Dorea*, *Haemophilus*, *Mycoplasma*, and *Pseudomonas* were enriched in MS patients. (241) In children, Horton et al. suggest that increased *Blautia stercoris* and *Christensenellaceae catabacter* and decreased *Odoribacter splanchnicus* have an association with subsequent disease activity in the pediatric cohort. (242) As a comparison, low values of *Odoribacter* were also found in active systemic lupus disease (243), cystic fibrosis (244), IBD (245), and Crohn's disease (246). This proves once again that the lack of butyrate-producing bacteria promotes the development of gut inflammation.

Vatanen et al. discovered that LPS produced by *Bacteroides* spp. was more abundant in Finnish and Estonian infants, where early-onset of autoimmune diseases are common, in comparison to the control group of Russian infants. LPS originating from *Bacteroides* spp. prevent immunostimulatory responses of LPS produced by *E.coli*. This could affect early immune education and can impact the progression of type 1 diabetes. In contrast, LPS produced by *E.coli* was more present in the gut microbiome of the control group. (247)

### **1.4.2. Disparities in selected autoimmune diseases**

#### **Inborn errors of immunity and the intestinal microbiome**

In a recent review by Hazime et al. (192) and Castagnoli et al. (248), the gut microbiota of patients with 11 IEIs have been examined: SCID, WAS, CVID, SIgAD, HIES, IPEX, APECED, IL10RA, CGD, XIAP and TTCA7 deficiency. Zhang et al. characterized

pediatric patients from 5 months to 2 years with Wiskott-Aldrich-Syndrome (WAS) with lower  $\alpha$ -diversity than HC. (249) Jørgensen et al. (250) found decreased  $\alpha$ -diversity in patients with CVID and immune dysregulation in contrast to HC, while CVID patients without immune dysregulation had similar  $\alpha$ -diversity as HC. Elevated levels of classes Bacilli, Gammaproteobacteria, Clostridia, and *Prevotella spp.* have been detected in patients with CVID, while those of the phyla Actinobacteria, Firmicutes, and *Bacteroides spp.* are low in comparison to HC. *Bifidobacterium* had diminished amounts in patients with CVID, suggesting the loss of associated health benefits (251). The discrepant age range of the study from Jørgensen et al. from 18 to 82 years of age to ours of 0 to 25 years old needs to be taken into consideration.

Fecal Microbiota Transplantation (FMT) is an option for those suffering from *Clostridium difficile* infections with recurring or persistent characteristics and are immunocompromised. (192,252) For example, a pediatric patient with Immunodysregulation polyendocrinopathy enteropathy X-linked (IPEX) syndrome was treated with FMT to combat severe diarrhea. Afterward, regression of the prior state was observed in the absence of side effects. Subsequently, restoration of the gut microbiome diversity was possible to an extent. However, hematopoietic stem cell transplantation (HSCT) was necessary to attain full recovery. (253)

A gluten-free diet was found to be advantageous in B-cell-deficient mice. The plethora of anaerobic bacteria causing the associated enteropathy was able to be contained. Enrichment of the pathobiont *Streptococcus lutetiensis* could suppress anaerobic bacteria small intestine. (254)

### **Severe immune cytopenias and the intestinal microbiome**

To date, the microbial composition of the gut in SIC patients is yet to be understood. While ITP has relatively more data in this regard, AIHA and ES present a lack thereof, especially in human studies.

In 2021 Dei Zotti et al. introduced a new murine model of AIHA that reflects study results of humans under the same condition. No significant association between the manifestation of the disease and inflammation processes or the gut flora was found in the mouse model. The autoantibody status of mice did not influence the concentration of pro- and anti-inflammatory cytokines. According to their findings, the failed T cell tolerance may be less likely caused by a pathway linked to the gut microbiome. (255)

However, Aplastic anemia (AA) is another example of anemia that could be triggered by infections and inflammation. (256) It is suspected that AA is a T cell mediated autoimmune disease. Patients with AA are characterized with pancytopenia, where two or all three blood cell lineages are affected, and a hypoplastic bone marrow. (257,258) While studies have shown that the gut microbiome could be involved in the onset of AA, the role in AIHA/ES is yet to be discovered. An association with the gut microbiome has been found in autoimmune cytopenias such as ITP and severe congenital neutropenia, whether regarding therapeutic response or altered composition of the gut microbiome (256).

Liu et al. analyzed the relationship between the gut microbiome and the onset of ITP among 94 adults ranging from 16 to 80 years. In their study, patients with ITP differed in increased levels of Bacteroidetes (46.0% vs. 34.3%), decrease in Firmicutes (38.6% vs. 50.9%), and lower percentages of Proteobacteria (11.4% vs. 13.6%) compared to their HC. According to statistical measures, no significant changes in richness and diversity have been observed. However, all 94 patients with ITP were treated with high-dose Dexamethasone, a glucocorticoid, and it is important to note the age range. (259)

By contrast, Wang et al. divided 96 ITP patients depending on receipt of corticosteroids and their sensitivity towards this treatment. As a result, differences among genera and species have been found between those resistant and sensitive to corticosteroids. Yet, the microbiome of the corticoid resistant group is unequal to that of the untreated ITP patients. It is to be kept in mind, that the results are based on ages ranging from 18 up to 68 years of age. However, age groups have not been formed in this study. (174)

A recent study in 25 Chinese pediatric ITP patients was done by Li et al. Study participants were between 11 months and 10 years of age. They detected the following differences between ITP and HC in the phyla Firmicutes (54.3% vs. 45.8%), Actinobacteria (19.8% vs. 40.15%), Bacteroidetes (16.1% vs. 3.4%) and Proteobacteria (8.7% vs. 10.2%). Another observation was the positive correlation between the abundance of Bacteroidetes and disease severity with increased platelet-associated IgG, suggesting a possible impact of the gut microbiome on the development of ITP. (260)

## **1.5 Aim of the study**

The first aim of the study is to recruit a healthy, pediatric cohort and to analyze the composition of their gut microbiota.

The second aim of the study is to compare the pediatric control cohort to children with severe immune cytopenias (SICs) such as autoimmune hemolytic anemia, Evans syndrome and immune thrombocytopenia with or without inborn errors of immunity, which display risk factors for SICs and distinguish possible alterations.

## 2. Materials and Methods

### 2.1 Patient recruitment

To recruit a healthy pediatric cohort for this study, patients presenting fractures without an accompanied internal medical condition were recruited in the outpatient ward of the pediatric surgery department at the University Hospital Graz. Patients between 14 and 25 years or the legal guardians of patients under 14 years old were informed about the formation of a healthy pediatric control cohort for the Severe Immune Cytopenia Registry (SIC-Reg) (<https://www.sic-reg.org/>) and in contrast to patients with inborn errors of immunity. Table 1 displays the number of participating children and adolescents up to 25 years of age. The aim was to collect 3 to 5 participants of the same age and consider a balance in gender, when possible. For analysis purposes, Age Groups (AG) were created based on an age range: AG1 (0-1 years old), AG2 (2-5 years old), AG3 (6-10 years old), AG4 (11-15 years old) and AG5 (16-25 years old). Table 2 illustrates the distribution of study participants of SIC-Reg recruited in pediatric hematology-oncology departments located in Graz, Leoben, and Innsbruck.

Age Group	Range [in years]	male	female	n_HC
1	0 - 1	8 (67%)	4 (33%)	12 (100%)
2	2 - 5	11 (61%)	7 (39%)	18 (100%)
3	6 - 10	11 (42%)	15 (58%)	26 (100%)
4	11 - 15	14 (58%)	10 (42%)	24 (100%)
5	16 - 25	10 (67%)	5 (33%)	15 (100%)
<i>n</i> =		57	41	95 (100%)

Table 1. Age distribution of healthy controls (HC) (n=95). Age Groups (AGs) are categorized by the age range: e.g. Age Group 1 consists of participants between 0 and 1 years of age. The distribution of male and female healthy controls is displayed in absolute and relative frequency. a.. years, n.. number in total

Age Group	Range [in years]	AIHA/ES (m:f)	ITP (m:f)	IEI (m:f)
1	0 - 1	0 : 2	1 : 1	1 : 0
2	2 - 5	1 : 1	1 : 3	2 : 1
3	6 - 10	0 : 1	2 : 3	2 : 0
4	11 - 15	2 : 3	2 : 3	2 : 1
5	16 - 25	1 : 0	3 : 2	3 : 0
<i>n</i> =		11	21	12

Table 2. Age distribution of patients with autoimmune hemolytic anemia and Evans Syndrome (AIHA/ES) (n=11), immune thrombocytopenia (ITP) (n=21), and inborn errors of immunity (n=12) in Age Groups (AGs). The layout of males and females are displayed as m:f in the number of participants respectively.

After the approval for consent, a Stratec test tube (Invitex Molecular) was handed out to obtain the stool sample. The sample was two days or one day prior or from the same date when the pediatric patient returned for a check-up at the clinic. We collected 102 samples of healthy children, yet 95 participants were eligible for this study. Three children were treated with antibiotic therapy and the test tubes of four teenagers did not contain stool samples.

The samples are sent to the Diagnostic- and Research Institute for Pathology of the Medical University of Graz and are analyzed respectively. Exclusion criteria were antibiotic intake before 4 weeks, long-term cortisol therapy, acute inflammation or infection, and current or chronic gastrointestinal diseases. Personal information was rendered anonymous through the assignment of an ID ranked upon receipt of the stool sample.

## **2.2 Matching of study and control population**

Before analysis, the controls were assigned to corresponding patients who are participating in SIC-Reg and patients with inborn errors of immunity. During the matching process, proximity to age and the equivalence in sex were kept into consideration.

## **2.3 DNA extraction**

Magnapure Compact Nucleic Acid Isolation Kit I was utilized for this approach. The samples were homogenized on a MagNA Lyser Instrument with the use of MagNA Lyser Green Beads (Roche Diagnostics GmbH, Mannheim, Germany). Afterward, the homogenized samples were first treated with 2,5mg/ml Lysozyme (Roth GmbH, Karlsruhe, Germany) for 30 minutes at 37 °C, then were followed by a digestion process with 1mg/ml Proteinase K for 60min at 56°C. Enzymes were inactivated for 10 minutes at 95 °C and to isolate the DNA in the Magnapure Compact instrument, 600 µl of lysate. Picogreen fluorescence was used to measure the concentration of DNA. This method of DNA extraction has been described elsewhere such as in Weber et al. (261)

## **2.4 16S Amplification**

The variable V4 region of the bacterial 16S rRNA gene was chosen for amplification from 20 ng DNA with oligonucleotide primers 16s\_515\_fwd: TGCCAGCAGCCGCGGTAA and 16s\_806\_rev: GGACTACCAGGGTATCTAAT.

Regarding the choice of region, its taxonomic classification was solid and it was applicable for community clustering. Bacterial 16S rRNA was amplified with Mastermix 16S Complete PCR Kit (Molzym, Bremen, Germany) as per manufacturer's instructions using 0.4 $\mu$ M final concentration of primers and 57 °C annealing temperature for 25 cycles. The first PCR reaction product followed a second round of PCR with primers fusing the 16S primer sequence to the A and P adapters necessary for Ion Torrent sequencing. Simultaneously, a molecular barcode sequence was added to a multiplex of up to 96 samples. PCR products then ran through agarose gel electrophoresis. Afterwards, the band of the expected length (350nt) was excised from the gel and purified using the QiaQuick (Qiagen, Hilden, Germany) gel extraction system. The concentration of DNA in the final PCR product was measured by Picogreen fluorescence. This method of 16s Amplification has been described elsewhere such as in Weber et al. (261)

## **2.5 Sequencing**

Amplicons from up to 60 samples were pooled equimolarly and underwent emulsion PCR. With the Ion 530 Chef Kit and the 400bp workflow (all reagents from Thermo Fisher Scientific, MA, USA) the libraries were able to be sequenced on Ion Torrent S5. All samples were sequenced to a depth of approximately 50,000 reads. Sequences were split by barcode and were transferred to the Torrent Suite server. Unmapped binary alignment and map (BAM) files were used as input for bioinformatics, hence the files were smaller, practical to store and efficient to work with. (262)

## **2.6 Bioinformatics**

All sequences were initially trimmed by a sliding window quality filter with a width of 20nt and a cutoff of Q20. Reads shorter than 100 nucleotides and reads mapping to the human genome were removed by utilizing deconseq. (263) The resulting reads were subjected to error correction using the Acacia tool (264), thus leading to error correction of 10-20% of reads. Subsequently, PCR chimeras were removed by usearch algorithm in de-novo and reference based settings. (265) The final sequence files were then analyzed by QIIME 1.8 and QIIME 2.0 workflow scripts. (266) Operational taxonomic unit (OTU) search was performed by using the parallel\_pick\_open\_reference\_otus workflow script and the greengenes 13\_8 reference database.

## 2.7 Analysis

All taxons with at least 10 reads were included in the final result.

With Alpha-Rarefaction for operational taxonomic units (OTUs), metrics such as observed species, chao1, Shannon, Simpson, and Faith's phylogenetic diversity (PD) were used to determine the alpha diversity. Community richness can be calculated with chao1 and community diversity can be expressed by Shannon and Simpson. Beta diversity can be displayed through Principal Component Analysis (PCA).

Bar plots were generated in Python to illustrate the taxa composition among all age groups in HC and among the four major groups HC, AIHA/ES, ITP, and IEI.

Linear discriminant analysis (LDA) effect size (LEfSe) method identifies genomic features such as taxa in biological conditions or groups. During this analysis, features differentially abundant in comparison to the remaining examined groups can be found. Assigning characteristic relative abundances of taxa to a specific group allows a differentiation between groups. The LDA score represents the effect size of each differentially abundant feature. (267)

Differential abundance analysis with Microbiome Multivariable Associations with Linear Models (MaAsLin2) was used to find associations of abundant microbial features such as taxa and metadata variables. Metadata variables can include environmental factors, clinical presentation, or covariates. This helps to understand the origins and the occurrence of differences within the groups of interest. (268)

### 3. Results

#### Healthy control cohort

As shown in Table 1, we recruited 95 healthy controls, of which 57 were male and 41 were female. They were divided into five age groups (AGs). AG1 (n=12) represented newborns and infants from 0 to 1 years of age, of which 8 were male and 4 were female. The age range for AG2 (n=18) was set between 2 and 5 years old, which consisted of 11 males and 7 females. AG3 (n=26) included children from 6 to 10 years of age, where 11 males and 15 females participated. Pre-teens and teenagers from 11 to 15 years of age can be found in AG4 (n=24), where 14 were male and 10 were female. AG5 consisted of teenagers and young adults from 16 to 25 years of age, where 10 males and 5 females participated.

16S rRNA sequencing with the V4 amplicon and analysis with QIIME 1.8 and QIIME 2.0 reveal a discrepancy among newborns in comparison to older children and teenagers. Table 1 displays the distribution of the sexes and participants sorted according to their age in five age groups (AGs) depending on the age range. During recruitment, the age of healthy children was monitored.

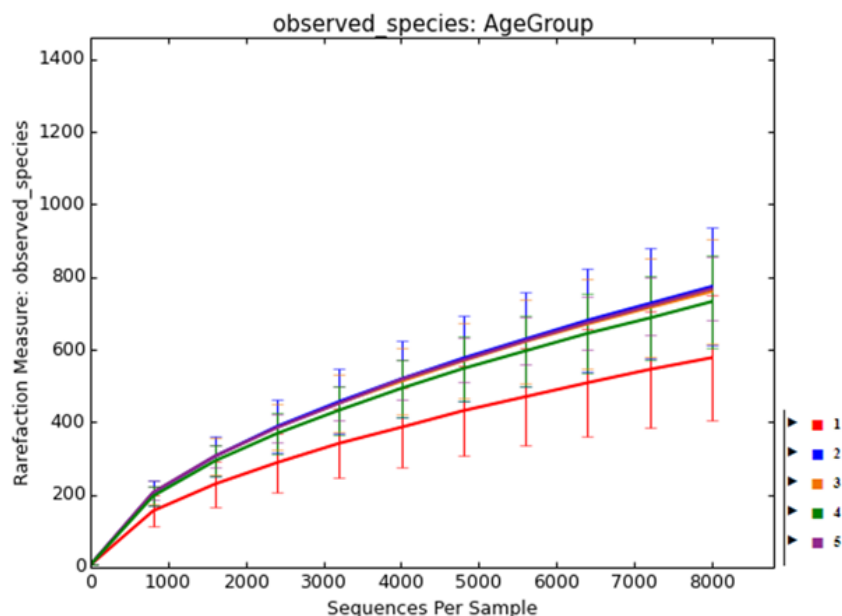


Figure 4. Alpha-Rarefaction with the metric observed species for healthy controls (n= 95). AG1 ranges between 0-1 years old, AG2 from 2-5 years old, AG3 from 6-10 years old, AG4 from 11-15 years old, and AG5 from 16-25 years old. AG1 shows a significant decrease in observed species compared to AG3 ( $p= 0.04$ ), AG4 ( $p= 0.02$ ), and AG5 ( $p= 0.02$ ). The latter AGs present similar numbers of observed species.

No significant differences between male and female participants were found. The community richness calculated shows no significant difference among all age groups (AG). A significant difference in observed species was found between AG1 ( $577.33 \pm 171.28$ ) towards AG3 ( $759.93 \pm 144.52$ ,  $p= 0.04$ ), AG4 ( $731.86 \pm 126.16$ ,  $p= 0.02$ ), and AG5 ( $767.57 \pm 85.89$ ,  $p= 0.02$ ) respectively, as seen in Figure 4. Faith's PD displays that AG1 ( $30.84 \pm 10.75$ ) is significantly discrepant towards AG2 ( $44.20 \pm 8.28$ ,  $p = 0.02$ ), AG3 ( $43.86 \pm 7.42$ ,  $p= 0.01$ ), AG4 ( $42.51 \pm 6.74$ ,  $p= 0.01$ ) and AG5 ( $45.06 \pm 4.15$ ,  $p= 0.01$ ). Shannon shows a significant discrepancy in community diversity between AG1 ( $5.82 \pm 0.79$ ) and AG2 ( $6.69 \pm 0.58$ ,  $p= 0.02$ ), AG3 ( $6.68 \pm 0.49$ ,  $p= 0.01$ ), AG4 ( $6.56 \pm 0.46$ ,  $p= 0.04$ ) and AG5 ( $6.71 \pm 0.29$ ,  $p= 0.02$ ) respectively. However, Simpson limits the significant difference between AG1 ( $0.94 \pm 0.03$ ) and AG3 ( $0.97 \pm 0.02$ ,  $p= 0.02$ ) and AG1 and AG5 ( $0.97 \pm 0.01$ ,  $p= 0.02$ ).

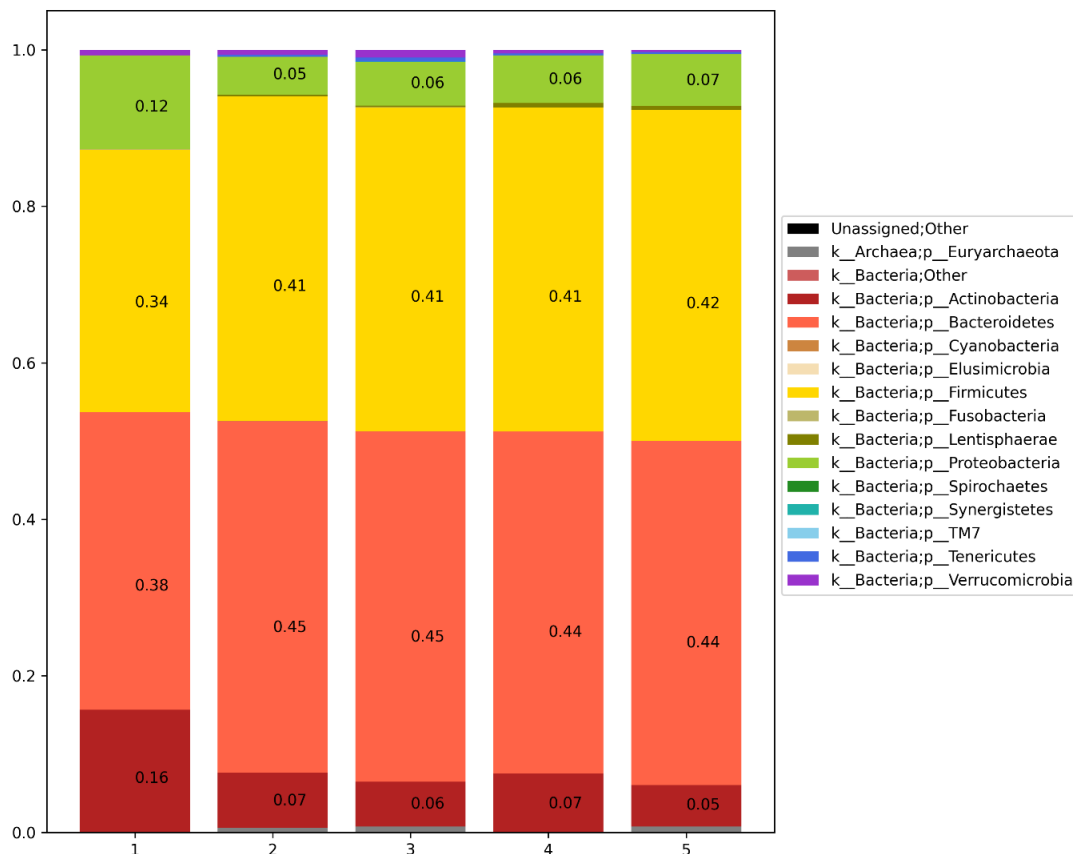


Figure 5. Bar plots at phylum level for healthy controls (n= 95). AG1 ranges between 0-1 years old, AG2 from 2-5 years old, AG3 from 6-10 years old, AG4 from 11-15 years old, and AG5 from 16-25 years old. AG1 shows a significant difference between the remaining AGs, while these are similar at the phylum level. In all five AG, the phyla Actinobacteria, Bacteroidetes, Cyanobacteria, Firmicutes, Fusobacteria, Lentisphaerae, Proteobacteria, Tenericutes and Verrucomicrobia are represented. AG.. Age Group, k.. kingdom, p.. phylum

Figure 5 shows bar plots at the phylum level. In all five AG, the phyla Actinobacteria, Bacteroidetes, Cyanobacteria, Firmicutes, Fusobacteria, Lentisphaerae, Proteobacteria, Tenericutes, and Verrucomicrobia are present. Elusimicrobia were detected in AG2 (0.001%), AG3 (< 0.001%), and AG4 (< 0.001%). Low percentages of Synergistetes (0.002%) and Spirochaetes (< 0.001%) were found in AG3. Little amounts of TM7 were detected in AG2 (< 0.001%) and AG5 (< 0.001%).

AG1 was characterized by elevated amounts of Actinobacteria (15.59%) ( $p = 0.001\%$ ) and Proteobacteria (11.97%), decreased Bacteroidetes (38.02%), Firmicutes (33.55%) and Lentisphaerae (0.02%) compared to the remaining AGs. The ratio of Firmicutes/Bacteroidetes weighed consistently on the side of Bacteroidetes throughout all five AGs, however, we noticed that the difference between the two phyla decreased with increasing AG. While the Firmicutes/Bacteroidetes ratio was at 33.55% / 38.02% in AG1, it was at 42.25% / 43.96% in AG5. Bacilli were most abundant in AG1 (5.77%), while AG2 (1.73%), AG3 (1.64%), AG4 (2.03%), and AG5 (2.31%) showed lower values than AG1. The order Lactobacillales ( $p = 0.01$ ), *Streptococcus spp.* ( $p=0.02$ ), *Vagococcus spp.* ( $p = 0.0006$ ) and *Enterococcus spp.* ( $p = 0.0001$ ) were significantly abundant in AG1 compared to the remaining AGs. In contrast, the class Clostridia were low in AG1 (27.21%), while the percentages remained stable in the following age groups as in AG2 (38.70%), AG3 (38.45%), AG4 (38.49%), AG5 (38.95%). The family Ruminococcaceae was low in AG1 (2.71%) and seemed to plateau in the older AGs, as detected in AG2 (7.89%), AG3 (8.15%), AG4 (8.40%) and AG5 (8.60%) ( $p = 0.0003$ ). *Faecalibacterium spp.* was more abundant in AG2 (6.33%) ( $p = 0.01$ ), compared to AG1 (2.82%). The percentages decreased gradually from 5.30% in AG3 to 5.06% in AG5. *Ruminococcus spp.* decreased in AG1 (0.92%) and rose in the following AGs up to 4.24% in AG5 ( $p = 0.01$ ). *Veillonella spp.* was significantly abundant in AG1 (2.13%) ( $p = 0.0003$ ) and diminished in the remaining AGs under 0.10%. *Megasphaera spp.* was significantly increased in AG1 (0.50%) ( $p = 0.02$ ) in contrast to the other AGs, which were below 0.30%.

While the highest value of Actinobacteria was detected in AG1 (15.59%), the levels of this phylum were incohesive throughout the greater AGs. Actinobacteria was at 7.02% in AG2 and 7.39% in AG4, while it made up 5.75% in AG3 and 5.30% in AG5. The order of Bifidobacteriales was present in all AGs. However, *Bifidobacterium spp.* was significantly abundant in AG1 (14.08%) ( $p = 0.003$ ) while values lower than 7% were

presented in other AGs. Regarding the order Coriobacteriales, AG2 showed significantly increased levels of *unclassified Coriobacteriaceae spp.* ( $p = 0.08$ ) and *Adlercreutzia* ( $p = 0.03$ ).

In contrast, Proteobacteria was more abundant in AG1 (11.90%) and decreased to 4.80% in AG2. However, the percentage increased gradually, to 5.59% in AG3, 6.10% in AG4, and 6.62% in AG5. The class Gammaproteobacteria (6.74%) ( $p = 0.03$ ) was significantly abundant in AG1 compared to other AGs. Gammaproteobacteria dropped to 1.1% in AG2 and slowly decreased with increasing AGs. The family Enterobacteriaceae was abundant in AG1 (4.99%) and decreased with age starting at 0.72% (AG2) up to 0.67% in AG5. In comparison to Betaproteobacteria, half of the value in AG1 was detected in AG2 but elevates with increasing age group. In terms of Betaproteobacteria, *Sutterella spp.* was the dominant genus in all AG. *Neisseria spp.* was present in AG1 (0.02%) and reduced to  $< 0.001\%$  at AG2 and continuously held its value in the greater AGs. In the remaining age groups, Betaproteobacteria were prominent relatively to their percentages. Alphaproteobacteria and Deltaproteobacteria have been detected in all age groups as well. While Deltaproteobacteria elevated from 0.29% in AG1 to 1.05% in AG5, Alphaproteobacteria did not seem to correlate with AG.

No significant difference in abundance was found among all AGs in the following phyla Lentisphaerae, Tenericutes, Verrucomicrobia, and Cyanobacteria.

The relative abundance of Lentisphaerae increased with age, starting at 0.02% in AG1 and reached 0.53% in AG5. AG2 (0.23%) and AG3 (0.21%) had similar percentages, as well as AG4 (0.57%) and AG5 (0.53%) to one another. The percentages of Lentisphaerae were mostly caused by the presence of the family Victivallaceae.

The minimum of Tenericutes was found in AG1 (0.02%), whereas the maximum among all five groups was detected in AG3 (0.56%). Tenericutes levels in the remaining age groups ranged between 0.23% and 0.26%. The order RF39 made up the majority, followed by ML615J-28. However, the proportion remained equivalent to the distribution at the phylum level.

High percentages of Verrucomicrobia were detected in AG3 (0.98%) and its lowest value in AG5 (0.32%). Verrucomicrobia ranged between 0.72% and 0.70% in AG1 and

AG2 respectively, yet 0.46% was found in AG4. *Akkermansia spp.* was the dominant genus in Verrucomicrobia and was rationed similarly as previously mentioned.

Cyanobacteria was low in AG4 (0.001%) and AG1 (0.003%) compared to AG5 (0.02%), AG3 (0.04%) and AG2 (0.07%). The class 4C0d\_2 ( $p = 0.01$ ) and the genus *unclassified YS2* ( $p = 0.01$ ) were significantly abundant in AG2.

As shown in Figure 6, AG1 was significantly richer in the genera *Escherichia shigella* ( $p < 0.001$ ,  $q$ -value = 0.001) and *Ruminococcus gnavus* ( $p < 0.001$ ,  $q$ -value = 0.002) in contrast to the other age groups.

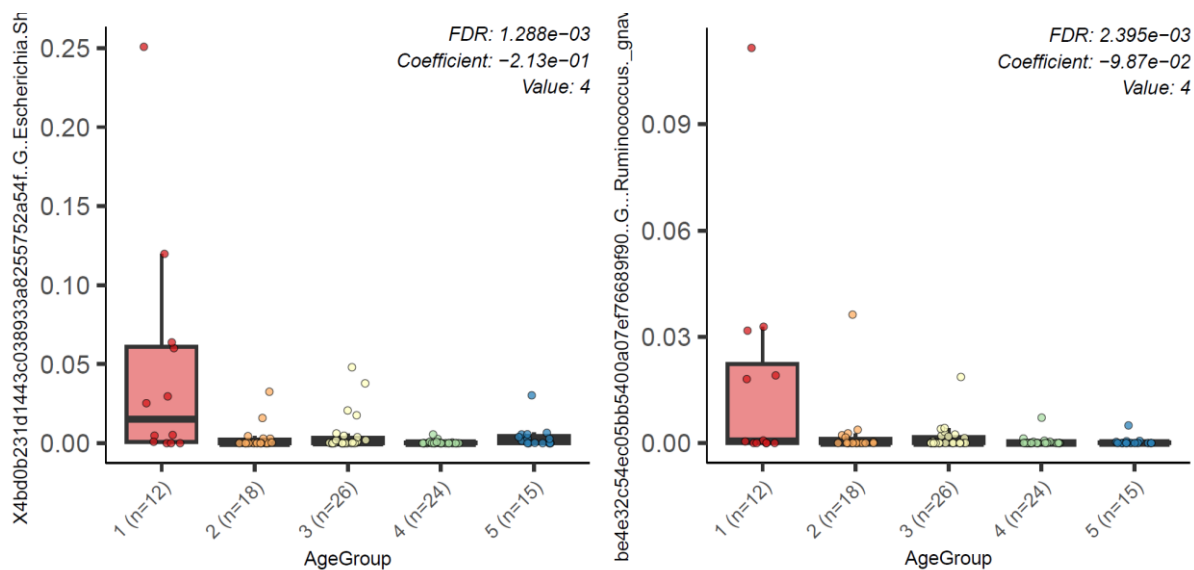


Figure 6. Differential abundance analysis (MaAsLin) displaying the relative abundances of the five age groups among healthy controls ( $n = 95$ ). AG1 ranges between 0-1 years old, AG2 from 2-5 years old, AG3 from 6-10 years old, AG4 from 11-15 years old, and AG5 from 16-25 years old. AG1 is characterized by increased *Shigella* ( $p < 0.001$ ,  $q$ -value = 0.001) and *Ruminococcus gnavus* ( $p < 0.001$ ,  $q$ -value = 0.002). The remaining AGs do not present a plethora of significantly elevated levels from a specific taxon.

We observed that participants under the age of 6 months ( $n = 2$ ,  $AG < 1$ ) were separated by AG1 and the remaining age groups. We observed the trend of AG1 in detail by analyzing infants from 1 and 2 years old separately. Figure 7A displayed the trend of AG1\* with those under 1 year of age. However, two HCs of AG1 were assigned to the same point cloud as AG2, AG3, AG4, and AG5. Figure 7B highlights that 2 out of 8 of the 1-year-old participants fell into the assembly of the greater AGs. Participants ranging from 2 and 25 years old built one cluster, with no distinct partition among the four remaining age groups.

Additionally, Table 3 illustrates the linear discriminant analysis (LDA) score of all five AGs and presents significant abundances between the AGs. In this analysis, LDA scores above 2 are used to show relevant results. Most of the significant differences were observed in AG1 among all AGs. Significant differences were especially found within the phyla Actinobacteria, Bacteroidetes, Cyanobacteria, Firmicutes, and Proteobacteria.

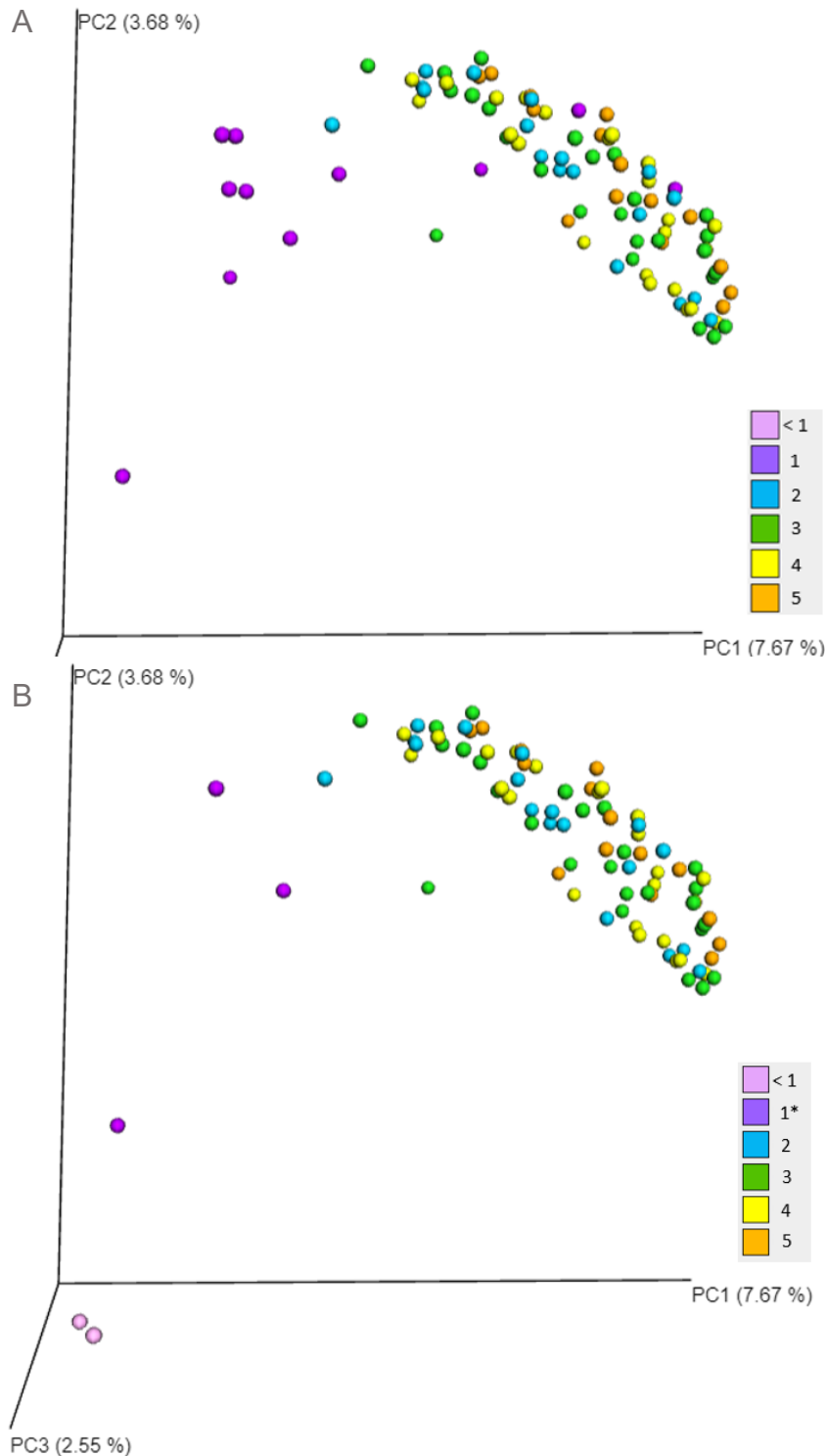


Figure 7. Principal Component Analysis (PCA) of healthy controls (n= 95).

(A) AG<1 consists of participants under the age of 6 months, AG1 ranges between 0-1 years old, AG2 from 2-5 years old, AG3 from 6-10 years old, AG4 from 11-15 years old and AG5 from 16-25 years old. A separation between AG<1 and AG1 towards the remaining age groups is noted. However, two participants are assigned to the same point cloud as the older AGs.

(B) For demonstration purposes, AG1\* shows the trend only with those under 1 years old.

Featured bacteria	mean_max	class_max	LDA-score	p-value
k_Bacteria.p_Actinobacteria	5.181	1	4.67	0.001
k_Bacteria.p_Actinobacteria.c_Actinobacteria	5.163	1	4.66	0.002
k_Bacteria.p_Actinobacteria.c_Actinobacteria.o_Bifidobacteriales.f_Bifidobacteriaceae.g_Bifidobacterium	5.149	1	4.64	0.003
k_Bacteria.p_Actinobacteria.c_Actinobacteria.o_Bifidobacteriales.f_Bifidobacteriaceae	5.149	1	4.64	0.003
k_Bacteria.p_Actinobacteria.c_Actinobacteria.o_Bifidobacteriales	5.149	1	4.64	0.003
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria	4.904	1	4.53	0.004
k_Bacteria.p_Firmicutes.c_Bacilli	4.846	1	4.45	0.022
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales	4.829	1	4.44	0.012
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_Enterobacteriales.f_Enterobacteriaceae	4.760	1	4.39	0.002
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_Enterobacteriales	4.760	1	4.39	0.002
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_Enterobacteriales.f_Enterobacteriaceae.g_unclassifiedEr	4.748	1	4.38	0.002
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Streptococcaceae	4.742	1	4.34	0.050
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Streptococcaceae.g_Streptococcus	4.740	1	4.34	0.028
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Enterococcaceae.g_Vagococcus	0.967	1	4.26	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Veillonellaceae.g_Veillonella	4.512	1	4.18	0.000
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_OtherGammaproteobacteria	0.913	1	3.97	0.001
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_OtherGammaproteobacteria.f_OtherGammaproteobacteri	0.913	1	3.87	0.001
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_OtherGammaproteobacteria.f_OtherGammaproteobacteri	0.913	1	3.85	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Veillonellaceae.g_Megasphaera	3.858	1	3.51	0.022
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_OtherLactobacillales	3.309	1	3.11	0.015
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_Enterobacteriales.f_Enterobacteriaceae.g_Trabulsuella	1.948	1	3.08	0.000
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_OtherLactobacillales.g_OtherLactobacillales	3.309	1	3.05	0.015
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Enterococcaceae	3.330	1	3.03	0.000
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Enterococcaceae.g_Enterococcus	3.302	1	3.00	0.000
k_Bacteria.p_Proteobacteria.c_Gammaproteobacteria.o_Enterobacteriales.f_Enterobacteriaceae.g_OtherEnterob	2.963	1	2.75	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Lachnospiraceae.g_Epulopiscium	2.793	1	2.64	0.002
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Carnobacteriaceae.g_Granulicatella	2.873	1	2.62	0.021
k_Bacteria.p_Firmicutes.c_Bacilli.o_Lactobacillales.f_Carnobacteriaceae	2.873	1	2.62	0.021
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Ruminococcaceae.g_Faecalibacterium	4.842	2	4.26	0.010
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_OtherClostridiales.g_OtherClostridiales	3.589	2	3.14	0.002
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_OtherClostridiales	3.589	2	3.14	0.002
k_Bacteria.p_Actinobacteria.c_Coriobacteriia.o_Coriobacteriales.f_Coriobacteriaceae.g_unclassifiedCoriobacteri	3.416	2	3.08	0.008
k_Bacteria.p_Firmicutes.c_Bacilli.o_Turicibacterales	3.295	2	2.87	0.005
k_Bacteria.p_Firmicutes.c_Bacilli.o_Turicibacterales.f_Turicibacteraceae	3.295	2	2.86	0.005
k_Bacteria.p_Firmicutes.c_Bacilli.o_Turicibacterales.f_Turicibacteraceae.g_Turicibacter	3.295	2	2.85	0.005
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Ruminococcaceae.g_Anaerotruncus	1.815	2	2.74	0.022
k_Bacteria.p_Cyanobacteria.c_4C0d_2.o_YS2	2.538	2	2.69	0.012
k_Bacteria.p_Cyanobacteria.c_4C0d_2.o_YS2.f_unclassifiedYS2.g_unclassifiedYS2	2.538	2	2.63	0.012
k_Bacteria.p_Cyanobacteria.c_4C0d_2.o_YS2.f_unclassifiedYS2	2.538	2	2.60	0.012
k_Bacteria.p_Cyanobacteria.c_4C0d_2	2.538	2	2.60	0.012
k_Bacteria.p_Actinobacteria.c_Coriobacteriia.o_Coriobacteriales.f_Coriobacteriaceae.g_Adlercreutzia	2.431	2	2.49	0.032
k_Bacteria.p_Firmicutes.c_Erysipelotrichi.o_Erysipelotrichales.f_Erysipelotrichaceae.g_Holdemania	2.410	2	2.40	0.000
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Barnesiellaceae	4.308	3	3.84	0.013
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Barnesiellaceae.g_unclassified_Barnesiellaceae	4.308	3	3.84	0.013
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Christensenellaceae	3.497	3	3.31	0.004
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Christensenellaceae.g_unclassifiedChristensenellaceae	3.490	3	3.31	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Ruminococcaceae.g_OtherRuminococcaceae	3.402	3	3.18	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Lachnospiraceae.g_Anaerostipes	2.658	3	2.42	0.002
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_unclassifiedClostridiales	4.705	4	4.17	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_unclassifiedClostridiales.g_unclassifiedClostridiales	4.705	4	4.17	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Veillonellaceae.g_Dialister	4.342	4	4.01	0.046
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Mogibacteriaceae	2.463	4	2.85	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Mogibacteriaceae.g_unclassified_Mogibacteriaceae	2.447	4	2.73	0.001
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Lachnospiraceae.g_Lachnobacterium	2.783	4	2.59	0.004
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Ruminococcaceae	5.281	5	4.76	0.000
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Ruminococcaceae.g_unclassifiedRuminococcaceae	4.970	5	4.52	0.000
k_Bacteria.p_Firmicutes.c_Clostridia.o_Clostridiales.f_Ruminococcaceae.g_Ruminococcus	4.605	5	4.21	0.002
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Odoribacteraceae	4.425	5	4.00	0.001
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Rikenellaceae	4.335	5	3.98	0.000
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Rikenellaceae.g_unclassifiedRikenellaceae	4.335	5	3.98	0.000
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Odoribacteraceae.g_Butyricimonas	4.063	5	3.73	0.038
k_Bacteria.p_Bacteroidetes.c_Bacteroidia.o_Bacteroidales.f_Odoribacteraceae.g_Odoribacter	4.177	5	3.66	0.013
k_Bacteria.p_Proteobacteria.c_Deltaproteobacteria	3.982	5	3.51	0.005
k_Bacteria.p_Proteobacteria.c_Deltaproteobacteria.o_Desulfovibrionales	3.982	5	3.51	0.005
k_Bacteria.p_Proteobacteria.c_Deltaproteobacteria.o_Desulfovibrionales.f_Desulfovibrionaceae	3.982	5	3.51	0.005
k_Bacteria.p_Proteobacteria.c_Deltaproteobacteria.o_Desulfovibrionales.f_Desulfovibrionaceae.g_Bilophila	3.902	5	3.36	0.006

Table 3. LEfSE-Table of healthy controls (n=95) among age groups. The table represents the highest mean (mean\_max) found in the Age Group (AG) (class\_max) with an LDA score (LDA>2) and p-value (p<0.05). AG1 ranges between 0-1 years old, AG2 from 2-5 years old, AG3 from 6-10 years old, AG4 from 11-15 years old, and AG5 from 16-25 years old. k\_ kingdom, p\_ phyla, c\_ class, o\_ order, f\_ family, g\_ genus.

## Patient cohort

HC were then compared to participating patients in the Severe Immune Cytopenia Registry at study inclusion (timepoint 1). These include autoimmune hemolytic anemia and Evans Syndrome (AIHA/ES or AIHAES) (n=11), immune thrombocytopenia (ITP) (n=21), and inborn errors of immunity (IEI) (n=12), as seen in Table 2.

Alpha diversity was significantly greater in HC than in patients overall ( $p=0.01$ ), as seen in Figure 8. Significant differences were found between HC and patients with IEI (Shannon  $H=9.06$ ,  $p=0.54$ ; observed species  $H=6.76$ ,  $p=0.009$ ; Faith's PD  $H=7.40$ ,  $p=0.007$ ). HC and patients with ITP seemed to have similar alpha diversities, while those with IEI and AIHAES shared similar ranges. Significant differences were found between patients with IEI and ITP ( $H=5.57$ ,  $p=0.02$ ) in Shannon, but not in Faith's PD or observed species. No significant values were found between other SICs and HC and among SICs.

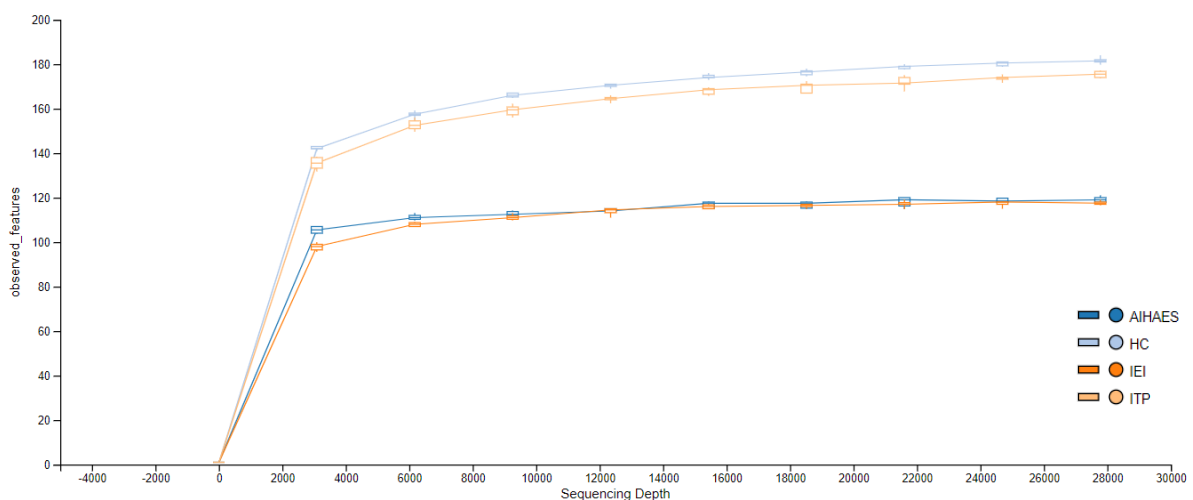


Figure 8. Alpha rarefaction of the four groups at study inclusion: healthy controls (HC), autoimmune hemolytic anemia and Evans syndrome (AIHAES), immune thrombocytopenia (ITP), and inborn errors of immunity (IEI). According to sequencing depth in the x-axis and observed features on the y-axis.

Beta diversity showed no significant differences in the microbiome composition in HC versus patients ( $p>0.05$ ). The PCA (weighted unifrac) showed no distinct formation of clusters that distinguishes the four groups respectively, as seen in Figure 9. However, a formation of three clusters was observed. Two clusters appeared heterogeneous, mostly dominated by HC. The other cluster was dominated by IEI. Given the relatively high probability of the existence of an underlying monogenic IEI

(181,186,189,269,270), one could hypothesize that the AIHA/ES patients whose microbiome data clustered with IEI were in fact undetected / undiagnosed IEI patients. However, this assumption could neither be confirmed nor excluded due to, *i*), the lack of genetic data in the sic-reg study and, *ii*), pending correlation of the results of microbiome analyses with deep immune phenotyping such as high-resolution FACS analyses and immune functional tests. During the study period of the microbiome analyses presented in this thesis, no patient with AIHA/ES was newly diagnosed with or reclassified as IEI.

The following comparison of the microbiome composition among all groups was statistically not significant in adjusted p-values. Thus, the calculation of relative abundances was not possible.

However, we noticed that participants with IEI featured discrepant proportions of phyla in contrast to the other groups, as seen in Figure 10. The phyla Firmicutes (48.942%) made up the majority of their microbiome. The class Bacilli were more abundant in IEI (11.16%) than in AIHA/ES (4.30%), HC (2.53%), and ITP (2.28%). Pronounced levels of *Lactobacillus spp.* were detected in IEI (7.0%) compared to AIHA/ES (0.3%), HC (0.1%) and ITP (0.04%). Further, increased *Lactococcus spp.* have been found in IEI (0.4%) rather than in AIHA/ES (0.05%), HC, and ITP (0.04%). Additionally, heightened values of *Enterococcus spp.* were found in patients with IEI (3.0%). HC (0.05%), AIHA/ES (0.03%), and ITP (0.01%) presented less *Enterococcus spp.* Patients with IEI (1.6%) had more *Staphylococcus spp.* than HC (0.03%), AIHA/ES (0.006%) and IEI (0.003%). The class Clostridia was more abundant in AIHA/ES (39.27%) compared to the remaining groups ranging between 36.18% and 36.55%. *Faecalibacterium spp.* was elevated in patients with ITP (6.0%), followed by AIHA/ES (5.1%) and HC (4.8%). In comparison, *Faecalibacterium spp.* were decreased in IEI (2.9%). However, *Clostridium spp.* (1.9%) and *Veillonella spp.* (1.5%) were enhanced in IEI.

The gut microbiome of ITP was dominated by Bacteroidetes (46.05%), which mostly consisted of *Bacteroides spp.* (32.4%). Similar characteristics applied to HC as Bacteroidetes (43.60%) were more prevalent compared to other phyla and consisted of comparable ratios of *Bacteroides spp.* (28.7%). AIHA/ES showed fewer Bacteroidetes (38.55%) than ITP, of which the ratio to *Bacteroides spp.* (30.1%) was reduced. In comparison, Bacteroidetes (30.82%) were less abundant in IEI.

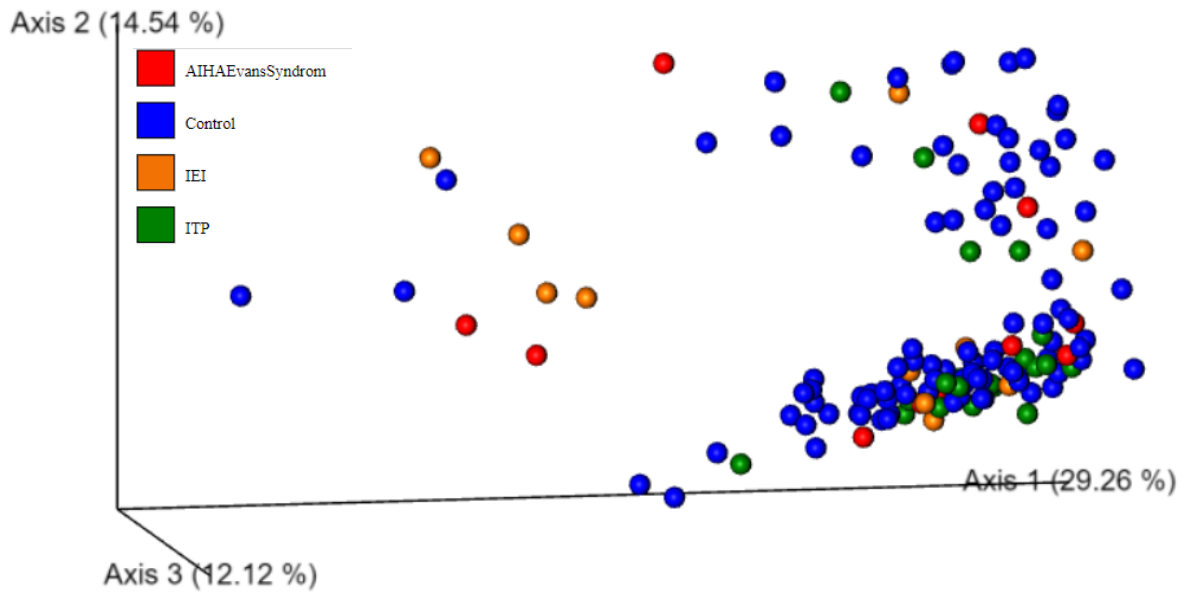


Figure 9. PCA of all four major groups at study inclusion: healthy controls (HC) (=95), autoimmune hemolytic anemia and Evans syndrome (AIHAES), immune thrombocytopenia (ITP) (n=21), and inborn errors of immunity (IEI) (n=12).

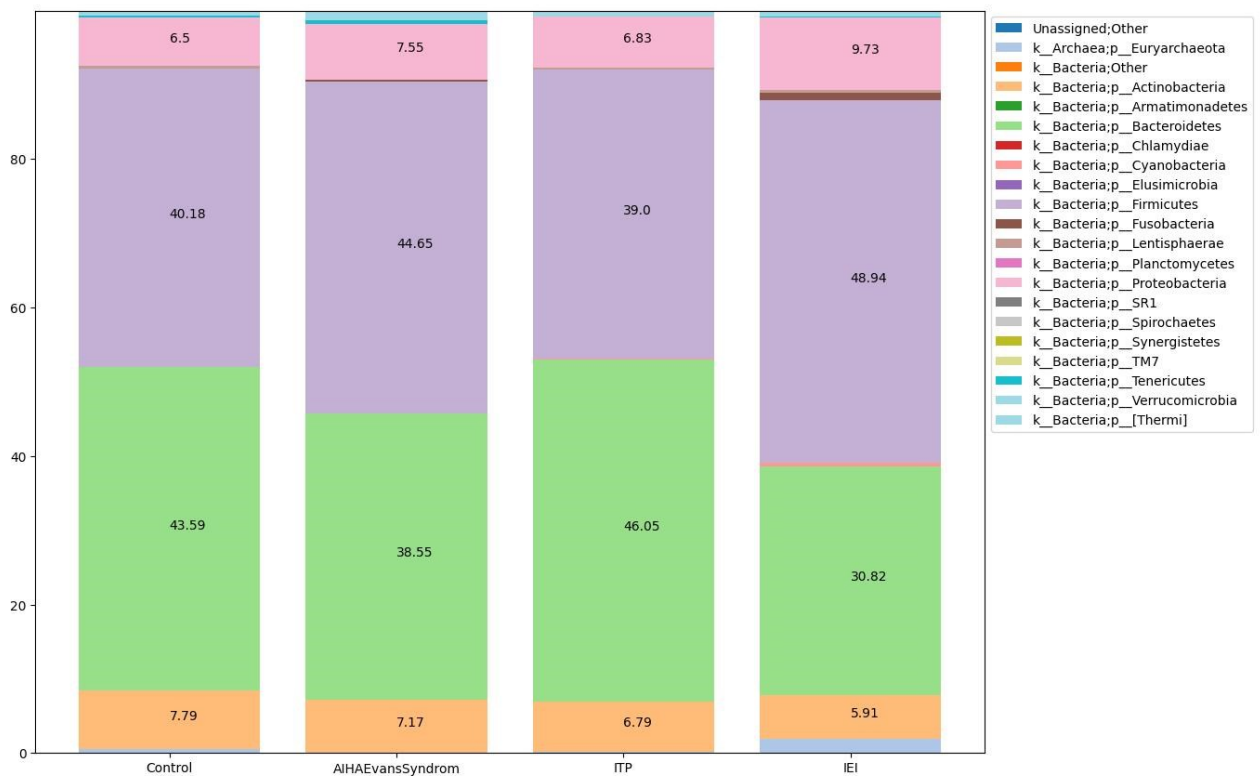


Figure 10. Bar plots of the four groups at study inclusion: healthy controls (HC) (=95), autoimmune hemolytic anemia and Evans syndrome (AIHAES), immune thrombocytopenia (ITP) (n=21), and inborn errors of immunity (IEI) (n=12).

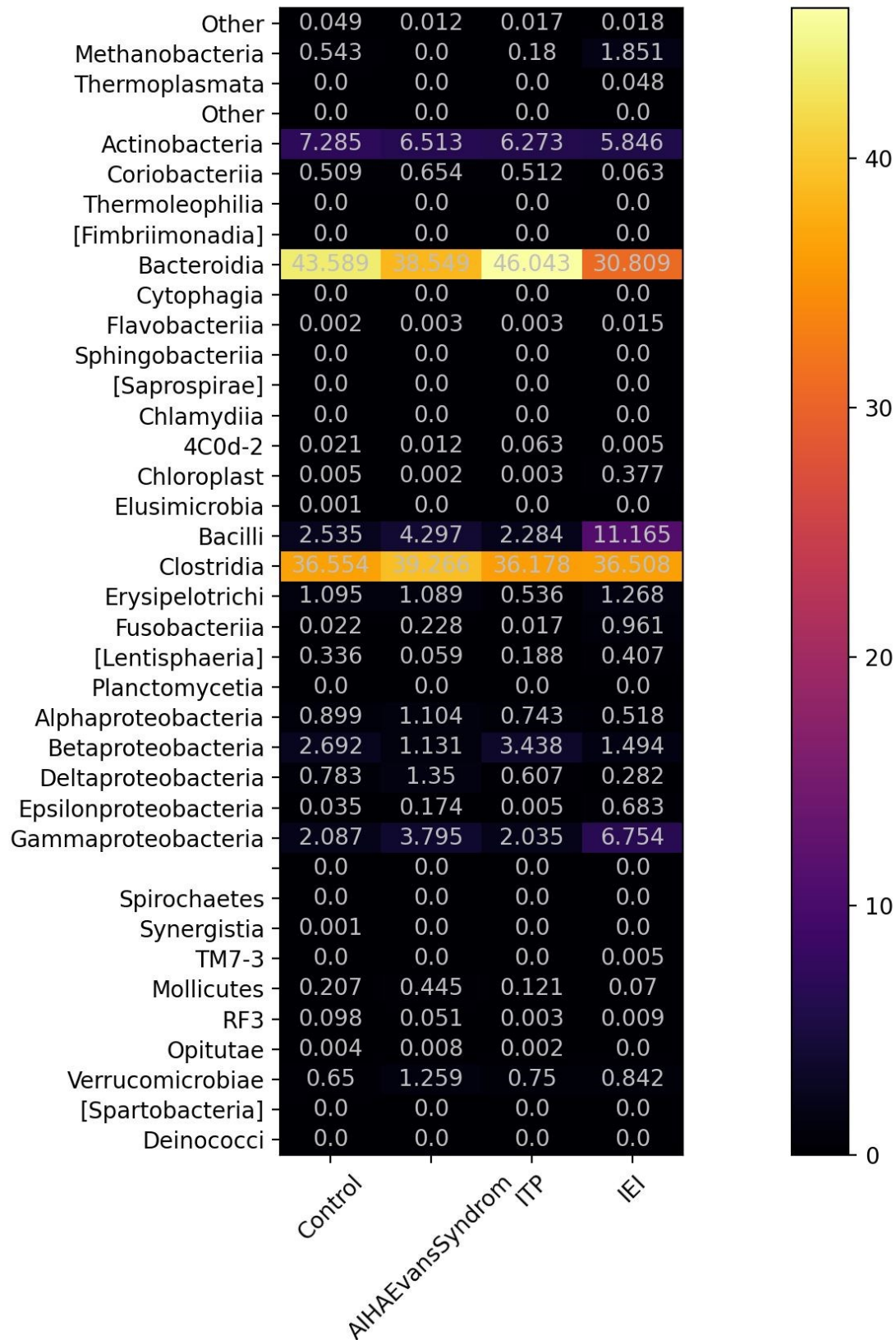


Table 4. Heatmap of the gut microbial composition at the class level of the four major groups: healthy controls (HC) (=95), autoimmune hemolytic anemia and Evans syndrome (AIHAES), immune thrombocytopenia (ITP) (n=21), and inborn errors of immunity (IEI) (n=12).

Lower values of *Bacteroides spp.* (16.9%) were detected in IEI in contrast to the other groups.

Furthermore, Proteobacteria (9.73%), Fusobacteria (0.961%), Cyanobacteria (0.383%), and Lentisphaerae (0.407%) were prevalent in patients with IEI. The distribution of Proteobacteria classes Alpha-, Beta-, Delta-, Epsilon- and Gammaproteobacteria were distinct, as seen in Table 4. The highest percentage of Gammaproteobacteria was found in IEI (6.754%). Representatives therefore were the species *Pseudomonas spp.* (0.599%), *Aggregatibacter spp.* (0.070%), *Citrobacter spp.* (0.029%), *Serratia spp.* (0.057%) and *Proteus spp.* (0.024%). While Gammaproteobacteria were dominant in patients with AIHA/ES (3.795%), Betaproteobacteria were more prevalent in those with ITP (3.438%). *Sutterella spp.* were more abundant in ITP (3.194%) compared to HC (3.020%), AIHA/ES (1.145%), and IEI (0.865%). Yet, in the same Betaproteobacteria class, the arrangement was changed for *Neisseria spp.* as levels were higher in IEI (0.334%), rather than AIHA/ES (0.022%), HC (0.004%) and ITP (0%). HC resembled ITP considering the ratio of Proteobacteria classes with the peak in Betaproteobacteria (2.692% (HC): 3.438% (ITP)) followed by Gammaproteobacteria (2.087%: 2.035%), Alphaproteobacteria (0.899%: 0.743%), Deltaproteobacteria (0.783%: 0.607%) and Epsilonbacteria (0.04%: 0.005%). Similarly, less Epsilonbacteria were found in AIHA/ES (0.035%). However, the lowest Proteobacteria class in patients with IEI was Deltaproteobacteria (0.282%). A thorough layout of the taxonomic classes showed that Bacilli (11.165%), Gammaproteobacteria (6.754%), Epsilonbacteria (0.683%), and Chloroplast (0.377%) were more prevalent in patients with IEI. *Campylobacter spp.* were abundant in IEI (0.860%), followed by AIHA/ES (0.077%), and were low in HC (0.036%) and ITP (0.003%). However, *Succinivibrio spp.* were elevated in ITP (0.661%) than in HC (0.001%), AIHA/ES, and IEI (0%). *Fusobacterium spp.* were enhanced in IEI (1.931%) rather than in AIHA/ES (0.050%), HC (0.018%) and ITP (0.013%). In addition to Fusobacteria, *Leptotrichia spp.* were more common in IEI (0.307%) compared to AIHA/ES (0.048%), HC (0.003%), and ITP (0.001%). Cyanobacteria were more common in patients with ITP (0.066%) and IEI (0.383%), compared to AIHA and ES (0.014%) and HC (0.026%). Similarities to HC were found in the percentages of Lentisphaerae between those with IEI, as HC presents 0.336% and the latter 0.407%.

Tenericutes were detected in the four groups remaining under 0.5%. The highest rate was found in patients with AIHA and ES (0.496%), ITP (0.124%) and IEI (0.079%). The prevalence of class Mollicutes was elevated in AIHA and ES (0.445%). Verrucomicrobia were common in AIHA (1.266%), followed by IEI (0.843%) and ITP (0.752%). In contrast, in HC 0.304% Tenericutes and 0.654% Verrucomicrobia were present. A representative genus of Verrucomicrobia was Akkermansia spp., which were prevalent in AIHA/ES (1.5%) and ITP (0.9%). However, less common in HC (0.7%) and IEI (0.63%).

Actinobacteria were increased in HC (7.794%) and AIHA and ES (7.164%), followed by ITP (6.785%) and IEI (5.909%). *Bifidobacterium spp.* made up the majority of HC (7.5%), ITP (6.1%), and IEI (5.8%). While *Bifidobacterium spp.* were decreased in AIHA/ES (5.5%), *Rothia spp.* (0.303%), *Actinomyces spp.* (0.18%), *Atopobium spp.* (0.125%) had higher values in IEI. In contrast, HC, ITP, and AIHA/Esans presented percentages lower than 0.05%. Yet, *Collinsella spp.* were little represented in IEI (0.038%) compared to ITP (0.345%), HC (0.285%) and AIHA/ES (0.209%).

Phyla in low abundance were TM7 (0.005%), which was found in IEI, and Elusimicrobia and Synergistetes (0.001%), which were detected in HC.

## 4. Discussion

Because the evolution process of the gut microbiota is dynamic, it can be altered by different perinatal conditions from the host itself through SIgA and from external parameters such as the mode of delivery and lifestyle. This is why it is even more important to review neglected age groups in this scientific question. (271–273) In this study, our focus lies on age-related differences in the gut microbiome in healthy infants and children and between these healthy controls and patients with various types of immune cytopenia with or without a known underlying IEI.

The majority of research on the pediatric intestinal microbiome has mostly studied infants under the age of 3 years and adolescents over the age of 18 years and above. (3) Until 2019 Riva et al. were one of a few, who examined this topic with children ranging between 9 and 16 years old. (274) Therefore, we attempted to understand the composition and dynamic changes throughout different age groups (AG) ranging from 0 to 25 years old. We expected gradual changes in the microbiota composition among the age groups. This was not the case in every AG. The deviant behavior of AG1 was verified with the results of the unweighted PCA and the taxa summaries. We see hints that could support the statements of Palmer et al. and Koenig et al. They suggest that similarities to the gut microbiome of adults can be found at the end mark at the age of 1 (86) and full resemblance to adults is achieved by the age of 2.5 years (45). According to the unweighted PCA, 2/7 (28%) of the 1-year-olds (1.1 and 1.4 years old) were grouped with AG 3 and higher in the unweighted PCA. Infants at the age of 2.5 did not appear to continue the trend subsequently but rather were found in the same point cloud as AG3, AG4, and AG5. However, the other distance matrices for PCA such as weighted unifracs, Bray Curtis, and Pearson show the formation of at least three clusters among the participants. Depending on the distance matrix, the clustering needs to be readjusted. This leaves us with the question, which factors may have influenced the clusters.

The presence of *Escherichia shigella* in AG1 does not indicate Shigellosis, as acute infection, inflammation, and chronic gastrointestinal diseases were part of the exclusion criteria in HC. Further, *Escherichia shigella* is a facultative pathogen, which may cause symptoms in immunocompromised patients. (275,276) Fießl (275) suggests that *Escherichia shigella* could be associated with metabolic liver diseases

and DM2 in adults. According to several studies, *Ruminococcus gnavus* is present in the early stages of life, and associations between diet were observed. (277) Tannock et al. (278) have described that diet may have an impact on the abundance of *Ruminococcus gnavus* in 2-month-old infants. In babies fed with breast milk and goat milk, Lachnospiraceae were limited to the species *Ruminococcus gnavus*. In contrast, those fed with cow milk had a greater diversity of Lachnospiraceae. Further, Mennella et al. (279) observed an increase in *Ruminococcus gnavus* that infants intolerant to cow milk. These infants were fed with isocaloric extensive protein hydrolysate formula, while others were fed with cows' milk formula. As the number of our study participants per age group ( $n_{HC}=19\pm7$ ) is relatively low, a broad generalization of our findings is not possible and should be investigated in the future.

When comparing our results to the European results from the review by Deering et. al (280), the dominant phyla Firmicutes, Bacteroidetes, Actinobacteria, Proteobacteria, and Verrucomicrobia are present in both parties. However, a significant disproportion of Firmicutes (67.7%) to Bacteroidetes (21.1%) is noticeable in European studies. In 3 out of 4 studies, the study subjects were infants between 2 and 3 years of age. (281–283) While the other analyzed the composition of 9 to 16-year-olds. (274) As studies on 4- to 8-year-olds are limited, the age gap makes it difficult to directly correlate our results.

Although we observed trends of microbiome alterations in patients with AIHA and ES similar to patients with IEI, no clear or general association was found between the damaged immune tolerance linked to autoimmunity and detectable gut dysbiosis in our study. We could not verify this hypothesis in ITP. A general statement upon this matter on AIHA/ES and IEI is invalid because of the low number of study participants. Moreover, the deviant behavior of results of microbiome analyses in patients with IEI might be explained by the heterogenous IEI patient cohort. In their case, timepoint 1 could not be determined as “untreated”; IEI patients were recruited for observation at random time points, or their microbiome was analyzed for clinical purposes. This resulted into including patients with severe disease courses, a few under parenteral diet, under immunosuppressive therapy, or even post hematopoietic stem cell transplantation (HSCT). Therefore this selection may have imposed an impact on the gut microbiome composition of IEI patients and represent a bias. However, it is inherent in the clinical course of patients diagnosed with severe IEI and thus a

determining factor for the composition of the cohort of patients with IEI, that they will be hard or impossible to be included in a study as treatment-naïve individuals. Nevertheless, the IEI cohort appears highly interesting to study further, for instance longitudinally, using sequential microbiome analyses, as the behavior among this heterogeneous cohort could differ and give additional insight into potential diagnostic and therapeutic approaches.

The comparison of the gut microbiome composition among the four groups showed no significant difference. This could be due to the small sample size of study participants in the groups AIHA/ES, ITP, and IEI. As our sample was taken at inclusion (timepoint 1), the dynamic during the disease course is not considered. Longitudinal observations could give further insight into this subject. There is a lack of knowledge considering birth mode, duration of the breastfeeding period, dietary habits, potential stressors, and the environment surrounding our study participants individually. These are factors that influence the gut microbiome at an early age. The assessment of those influences could have given an explanation for the insignificant results or of the cluster formations. In this study, personal information such as date of birth, sex, current diagnosis, past medical history, and therapeutic interventions were gathered. Additional facts like BMI and the number of siblings or pets are not noted. Expanding data acquisition could be helpful for further investigation.

By our knowledge, we are the first to analyze additional IEI such as LPS-responsive and beige-like anchor protein (LRBA)-Defect (n=1) and Nijmegen-Breakage-Syndrome (n=2). Further, we studied a patient with Common Variable Immune Disease (CVID) with Granulomatous Lymphocytic Interstitial Lung Disease (GLILD) and Irritable Bowel Disease (IBD). We included conditions such as sickle cell anaemia and cytopenia as observational patients. The state prior to hematopoietic stem-cell transplantation (HCST) could be analyzed in a patient with chronic granulomatous disease. Thus, one participant with ES after HSCT and another diagnosed ES with Cytotoxic T-Lymphocyte Associated Protein 4 (CTLA4)-Deficiency were involved in this study. With its complex and heterogeneous characteristics, it is important to keep in mind that the diseases included in our study representing IEI are single case studies. This makes it difficult to represent the disease group accurately as a whole. As an example, the gut microbiome composition shifts towards Firmicutes in patients with WIP defect and LRBA defect, who were both, for a long time, under parenteral nutrition

or enteral tube/formula diet. Bacteroidetes are more dominant in Nijmegen-Breakage-Syndrome, while the Firmicutes: Bacteroidetes ratio in CVID appears even. However, the validity of these findings is limited due to the number of samples per subtype. A broader range of participants in studies regarding the gut microbiome could help to understand the different dynamics of IEI.

Immunological studies such as from Fischer et al. (186) have shown that IEI is more likely associated with AIHAES rather than ITP. The relative risk of AIHAES in patients with IEI is at 830, followed by the relative risks of cytopenias at 120 and of ITP at 60. In our study, alpha rarefaction suggests a similarity of AIHA/ES and IEI in alpha diversity. However, the results of Differential Abundance Analysis show no related plethora in AIHAES and IEI. Further studies with a larger sample size could investigate if the gut microbiome of AIHAES and IEI harbor comparable species.

As Deering et al. (280) stated, the chosen 16S rRNA region to amplify can affect the results. In terms of pediatric HC, 42 studies with more than 2,000 children were included in their review. Out of nine possible regions (V1 – V9) (284), about 39% used the V6 region. We used the V4 region. The most conserved regions V4, V5, and V6 participate during the translation process and are necessary for the interaction with the 23S rRNA. The results for phyla and classes are more precise either with V4, V5, or V6, but at genus and species level V2 and V3 are more reliable. (284) With this in mind, the used amplicon in other studies regarding AIHA/Evans, ITP, and IEI needs to be taken into account as well. Additionally, the choice for the gastrointestinal segment of interest determines the presence of specific phyla during analysis. (285) As the distal gut harbors a highly selective gut flora (281,286), our results represent this section of our study participants and are not directly applicable to other parts of the gastrointestinal tract.

Most of our study participants are of Caucasian descent. Therefore, future studies should examine the pediatric gut microbiome of non-Caucasians in contrast to our predominantly white healthy control cohort. Hollister et al. stated that ethnicity and race made a small, yet statistically significant impact on the gut community richness. However, no statistically significant influence of ethnicity and race on community composition was observed in their study. (26) Additionally, the participants of our study are mainly either living in Austrian metropolises such as Graz (Styria) or Innsbruck

(Tyrol) or in smaller towns in proximity to the city centers of the two federal states mentioned. The gut microbiome of children living in rural areas of the federal states should be compared to our pediatric urban cohort as well.

Limitations in collecting pediatric stool samples may occur due to ethical principles and practical reasons. (287) Obtaining samples from children with the consent of their parental guardian or their own remains a challenge, especially with teenagers. Despite the consent for participation in the study, a few test tubes did not contain stool samples. These study participants and their samples were excluded from the analysis. Another reason that hindered some potential candidates was the thought of submitting stool samples. An adapted approach to explaining the topic of gut microbiome analysis could be beneficial for a better understanding and compliance.

## 5. Conclusion

Analysis of the gut microbiota revealed a distinction in composition between healthy newborns aged 0 to 12 months old and healthy participants ranging from 2 and 25 years of age in our study. No significant difference was detected among the remaining four Age Groups. As several factors have an early impact on the composition of the gut microbiome, further analysis of a broad pediatric cohort is required.

Further, the comparison of the gut microbiome of HC to patients with AIHA/ES, ITP, and IEI showed a significantly lower alpha diversity in IEI compared to HC. This might be due to IEI patients being heterogenous in their disease course and upon the receipt of possible gut-altering therapies as part of the observation. These include parental diet, immunosuppressive therapy and post hematopoietic stem cell transplantation. Principal Component Analysis illustrates no distinct separation of the four groups to one another, but a formation of three clusters. The formed clusters exhibited no significant similarity and would require extensive analysis or a larger sample size to draw definitive conclusions. No significant difference in the gut microbiome composition was found. As the disease course changes over time, longitudinal studies of these pediatric patients might give more insight into the dynamics of the gut microbiome and its potential role in immune tolerance. Further investigations concerning this topic are needed.

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